PTO

Patent and

k	Offic	:e:_l	J.S. [DEPA	RTM	ENT	OF
O/	ea r	or us	se thr	ougn	09/30	<i>)/</i> UU.	Ų

			1013010	O (12)	91)(1	HOGHIE	su,
ed for	use th	irough	09/30/0	0. 01	VIB 06	51-00	32
Office:	U.S.	DEPA	RTMEN	IT OF	COM	MER(CE
		_					_

<u>. ı</u>	IVIC-IV I	<u> </u>	COM
ta	l Page:	s	94

Tot

UTILITY **PATENT APPLICATION** TRANSMITTAL

Anly for new nonprovisional applications under 37 CFR 1 53(b))

Drewes et al.

Express Mail Label No.

Attorney Docket No.

EL 676079979 US

030641.0017.CON1

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EL 676079979 US

Date of Deposit: September 28, 2000

First Named Inventor or Application Identifier

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

wetcher Deckmann Gretchen Dieckmann

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	Commissioner for Patents ADDRESS TO: Box Patent Application Washington, DC 20231				
Fee Transmittal Form (Submit an original, and a duplicate for fee processing) Specification (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure *** Drawing(s) (35 USC 113) ** Oath or Declaration a.	6. Microfiche Computer Program (Appendix) 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Copy b. Paper Copy (identical to computer copy) c. Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 8. Assignment Papers (cover sheet & document(s)) 9. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee) 10. English Translation Document (if applicable) 11. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations 12. Preliminary Amendment 13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 14 Small Entity Statement filed in prior application, Statement(s) Status still proper and desired 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Other:				
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:					
Continuation Divisional Continuation-in-part (CIP) of prior application No: 08/950,963 entitled METHODS AND DEVICES FOR MASS TRANSPORT ASSISTED OPTICAL ASSAYS					
18. CORRESPONDENCE ADDRESS					
Michael A. Whittaker Reg. No. 46,230					
Brobeck, Phleger & Harrison LLP 12390 El Camino Real San Diego, CA 92130 Telephone: (858) 720-2500 Facsimile: (858) 720-2555					

If a paper is untimely field in the above-referenced application applicant or his/her representative, the commissioner is hereby petitioned under C.F.R. § 1.136(a) for the minimum extension of time required to make said paper timely. In the event a petition for extension of time is made under the provisions of this paragraph, the Commissioner is hereby requested to charge any fee required under 37 C.F.R. § 1.17(a)-(d) to **Deposit Account No. 50-1273**. However, the Commissioner is **NOT** authorized to charge the cost of the issue fee to the Deposit Account.

The filing fee has been calculated as follows:

FOR	P. C The Charles and Charle	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	41 – 20 =	21	x \$18.00	\$ 378.00
INDEPENDENT 2 - 3 = CLAIMS		0	x \$78.00	\$0 .00
MULTIPLE DEPENDENT	\$ 0.00			
			BASIC FEE	\$690.00
		TOTAL OF ABOV	E CALCULATIONS =	\$1,068.00
Reduction by 1/2 for filing If applicable, verified state	\$.00			
Assignment Recording F	\$0.00			
			TOTAL =	\$1,068.00

×	A check in th	e amount of \$1	068 00 is	attached
44	A CHOCK III til	e amount of st	*000'00 I2	anacijeu.

	Charge \$	to Deposit	Account No. 50-1273	referencing docket no.	
--	-----------	-------------------	---------------------	------------------------	--

Applicant(s) hereby petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees or to credit any overpayment to **Deposit Account No.** 50-1273 referencing docket no. 030641.0017.CON1 A duplicate copy of this transmittal is enclosed, for that purpose.

Dated:

9/28/00

Respectfully submitted,

Michael A. Whittaker Registration No. 46,230

Brobeck, Phleger & Harrison LLP 12390 El Camino Real

San Diego, CA (2130

Telephone: (858) 720-2500 Facsimile: (858) 720-2555

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Drewes et al.

Serial No.: Not Yet Assigned

Filed: Herewith

For: METHODS AND DEVICES FOR MASS TRANSPORT ASSISTED

OPTICAL ASSAYS

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

PRELIMINARY AMENDMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

In conjunction with the divisional patent application filed herewith, please enter the following amendments and consider the following remarks.

IN THE CLAIMS

Please cancel all of the currently pending claims, and enter the following new claims:

CERTIFICATE OF MAILING (37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL676079979 US	
Express Mail Label No.	

September 28, 2000

Date of Deposit

Gretchen Dieckmann

Name of Person Mailing Paper

Signature of Person Mailing Paper

Claim 51. A support comprising a surface on which an assay for an analyte of interest can be performed, comprising:

an attachment layer comprising diamond-like carbon on the support surface, wherein the attachment layer captures the analyte of interest for detection in the assay by binding the analyte directly to the diamond-like carbon.

- Claim 52. A support according to claim 51, wherein the attachment layer comprises a layer of diamond-like carbon of between about 50 Å to about 3000 Å in thickness.
- Claim 53. A support according to claim 51, wherein the degree of hydrophobicity of the attachment layer is determined by varying the sp² and sp³ character of the diamond-like carbon.
- Claim 54. A support according to claim 51, wherein the diamond-like carbon is configured to function as an antireflective layer.
- Claim 55. A support according to claim 51, wherein the support further comprises an optically functional layer interposed between the support surface and the attachment layer.
- Claim 56. A support according to claim 51, wherein the support provides a change in optical thickness upon binding of the analyte capable of attenuating one or more wavelengths of light.
- Claim 57. A support according to claim 51, wherein the support is configured to provide laminar flow through or across the support.
- Claim 58. A support according to claim 51, wherein the attachment layer comprises diamond-like carbon in a form selected from the group consisting of synthetic diamond, natural diamond, industrial diamond, monocrystalline diamond, resin-type diamond, polycrystalline diamond, amorphous carbon with diamond-like hardness and surface energy properties, amorphous hydrogenated diamond-like carbon, and non-crystalline to crystalline carbon films with diamond-like hardness and surface energy properties.

- Claim 59. A support according to claim 51, wherein the diamond-like carbon comprises non-carbon material.
- Claim 60. A support according to claim 59, wherein the non-carbon material is selected from the group consisting of hydrogen, silicon, and nitrogen.
- Claim 61. A support according to claim 51, wherein the support comprises a material that is not compatible with high temperatures.
- Claim 62. A support according to claim 61, wherein said high temperature is greater than 100°C.
- Claim 63. A support according to claim 61, wherein the material that is not compatible with high temperatures is selected from the group consisting of cellulose acetate, PETE, polyester, polycarbonate, nylon, filter paper, polysulfones, polypropylene, and polyurethane.
- Claim 64. A support according to claim 61, wherein the diamond like carbon has a hardness of about 15 to about 50 Gpa.
- Claim 65. A support according to claim 61, wherein the attachment layer has a refractive index of about 1.5 to about 2.2.
- Claim 66. A support according to claim 51, wherein said support is a biosensor.
- Claim 67. A support comprising a surface on which an assay for an analyte of interest can be performed, comprising:

an attachment layer comprising a layer of diamond-like carbon of between about 50 Å to about 500 Å in thickness on the support surface, wherein said attachment layer specifically captures said analyte by binding said analyte to a capture molecule bound to the diamond-like carbon.

Claim 68. A support according to claim 67, wherein said capture molecule is selected from the group consisting of an antigen, an antibody, a receptor, a nucleic acid, an RNA,

- a DNA, a polysaccharide, a lipopolysaccharide, an enzyme, a protein, a microorganism, a hapten, a drug, a ligand, and a chelator.
- Claim 69. A support according to claim 67, wherein the degree of hydrophobicity of the attachment layer is determined by varying the sp² and sp³ character of the diamond-like carbon.
- Claim 70. A support according to claim 67, wherein said diamond-like carbon is configured to function as an antireflective layer.
- Claim 71. A support according to claim 67, wherein said support further comprises an optically functional layer interposed between said surface and said attachment layer.
- Claim 72. A support according to claim 67, wherein said support provides a change in optical thickness upon binding of said analyte capable of attenuating one or more wavelengths of light.
- Claim 73. A support according to claim 67, wherein said support is configured to provide laminar flow through or across said support.
- Claim 74. A support according to claim 67, wherein said attachment layer comprises diamond-like carbon in a form selected from the group consisting of synthetic diamond, natural diamond, industrial diamond, monocrystalline diamond, resin-type diamond, polycrystalline diamond, amorphous carbon with diamond-like hardness and surface energy properties, amorphous hydrogenated diamond-like carbon, and non-crystalline to crystalline carbon films with diamond-like hardness and surface energy properties.
- Claim 75. A support according to claim 67, wherein the diamond-like carbon comprises non-carbon material.
- Claim 76. A support according to claim 75, wherein the non-carbon material is selected from the group consisting of hydrogen, silicon, and nitrogen.
- Claim 77. A support according to claim 67, wherein the support comprises a material that is not compatible with high temperatures.

- Claim 78. A support according to claim 77, wherein said high temperature is greater than 100°C.
- Claim 79. A support according to claim 77, wherein the material that is not compatible with high temperatures is selected from the group consisting of cellulose acetate, PETE, polyester, polycarbonate, nylon, filter paper, polysulfones, polypropylene, and polyurethane.
- Claim 80. A support according to claim 77, wherein the diamond like carbon has a hardness of about 15 to about 50 Gpa.
- Claim 81. A support according to claim 77, wherein the attachment layer has a refractive index of about 1.5 to about 2.2.
- Claim 82. A support according to claim 67, wherein said support is a biosensor.
- Claim 83. A method of assaying for the presence or amount of an analyte of interest in a sample, comprising:

contacting a support according to claim 51 with the sample, whereby analyte in the sample binds directly to the diamond like carbon;

contacting the bound analyte with a reagent that specifically binds to the bound analyte; and

detecting the bound analyte by measuring a mass change on the support surface.

- Claim 84. A method according to claim 83, wherein mass change is detected by measuring an optical property of the support.
- Claim 85. A method according to claim 84, wherein the optical property is selected from the group consisting of a change in reflectivity, a change in transmittance, a change in absorbance, extinction of a specific wavelength of light, enhancement of a specific wavelength of light, and a change in polarization of incident light.

- Claim 86. A method according to claim 84, wherein the reagent that specifically binds to the bound analyte comprises a signal generating element or a mass enhancing element.
- Claim 87. A method of assaying for the presence or amount of an analyte of interest in a sample, comprising:

contacting a support according to claim 67 with the sample, whereby analyte in the sample binds to the capture molecule bound to the diamond like carbon; and

detecting the bound analyte by measuring a mass change on the support surface.

- Claim 88. A method according to claim 87, wherein mass change is detected by measuring an optical property of the support.
- Claim 89. A method according to claim 88, wherein the optical property is selected from the group consisting of a change in reflectivity, a change in transmittance, a change in absorbance, extinction of a specific wavelength of light, enhancement of a specific wavelength of light, and a change in polarization of incident light.
- Claim 90. A method according to claim 87, wherein the assay further comprises contacting the bound analyte with a reagent that specifically binds to the bound analyte.
- Claim 91. A method according to claim 90, wherein the reagent that specifically binds to the bound analyte comprises a signal generating element or a mass enhancing element.

REMARKS

SUMMARY

The instant invention relates in part to supports on which an assay for one or more analytes can be performed. In particular, the invention discloses supports that are configured to capture analytes on a surface for detection, preferably using optical methods. In certain embodiments, a layer of diamond-like carbon can be used to directly or indirectly bind an antigen of interest.

Applicants have cancelled all of the claims filed with the application, and enter new claims 51-91. The new claims are fully supported by the specification as filed. For example, the specification describes a support comprising a diamond-like carbon attachment layer on page 18, lines 26-29, and page 42, lines 17-29; specific and non-specific binding on an attachment layer on page 11, lines 7-20; various capture molecules on page 35, lines 21-26, and originally filed claim 43; selecting diamond-like carbon having a specific degree of hydrophobicity on page 40, lines 6-20; configuring diamond-like carbon to function as an antireflective layer on page 43, lines 3-19; interposing an optically functional layer between a surface and an attachment layer on page 19, lines 1-6; a support providing a change in optical thickness upon analyte binding on page 20, lines 10-27; a support configured to provide laminar flow on page 27, lines 10-20; various forms of diamond-like carbon on page 19, line 26, through page 20, line 5; a support configured as a biosensor on page 42, line 27, through page 43, line 2; and diamond-like carbon comprising non-carbon materials on page 38, line 18, through page 39, line 2.

CONCLUSION

Applicants respectfully submit that the pending claims are in condition for allowance. An early notice to that effect is earnestly solicited. Should any matters remain outstanding, the Examiner is encouraged to contact the undersigned at the address and telephone number listed below so that they may be resolved without the need for additional action and response thereto.

> Respectfully submitted, Brobeck, Phleger & Harrison LLP

Dated: 9/28/00

For Richard J. Warburg, Michael A. Whittaker Registration No. 46,230

12390 El Camino Real San Diego, CA 92130 Telephone: (858) 720-2500

CONTINUATION APPLICATION

UNDER 37 CFR § 1.53(B)

TITLE:

METHODS AND DEVICES FOR MASS TRANSPORT ASSISTED OPTICAL ASSAYS

APPLICANT(S):

Joel A. Drewes, Gregory R. Bogart, Jeffrey B. Etter, Jeffrey W. Steaffens, Rachel M. Ostroff, Mark

Crosby

Correspondence Enclosed:

Transmittal Letter (2 pgs); Cover Sheet (1 pg); Specification (57 pgs); Claims (12 pgs); Abstract (1 pg); Drawings (4 pgs); Combined Declaration and Power of Attorney (9pgs); Preliminary Amendment (8 pgs); Check No. <u>503889</u> in the amount of \$1.068.00.

"EXPRESS MAIL" Mailing Label Number <u>EL676079979US</u> Date of Deposit <u>September 28, 2000</u> I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Gretchen Dieckmann

DESCRIPTION

229/119

METHODS AND DEVICES FOR MASS TRANSPORT ASSISTED OPTICAL ASSAYS

Background of the Invention

The present invention relates to methods and devices useful for analytical testing. Such testing includes, but is not limited to medical diagnosis and environmental testing.

This application is a continuation-in-part of U.S.

10 Serial No. 08/742,255 filed October 31, 1996, hereby incorporated by reference herein, including drawings.

The following is a discussion of relevant art, none of which is admitted to be prior art to the present invention.

- A flow-through, or porous, assay device is described in U.S. Patent No. 4,632,901 by Valkirs, et al. In this method an immunoassay is performed on a membrane or filter which is coated with an antibody and is capable of removing an analyte from a sample applied to the membrane.
- Visualization is based on the analyte dependent capture of a secondary reagent which will act on a substrate and produce a colored, particulate product which will non specifically adhere to the membrane only where the secondary reagent is present. Numerous modifications to
- 25 this basic design have been introduced including colored, and/or metallic particles (U.S. Patent No. 4,775,636) attached to the secondary reagent for visualization, and

the introduction of chromatographic rather than flow-through techniques (U.S. Patent No. 5,232,835).

U.S. Patent No. 5,200,312 describes a membrane assay system where a colored, insoluble product is used for the detection of an analyte. This product is formed by an enzyme interacting with a substrate that contains a reagent which when exposed to the enzyme produces a chromophore containing insoluble product producing a visible color change. U.S. Patent No. 5,395,754 describes methods for producing control or calibration zones on a membrane surface for use in a biological assay.

Production of porous antireflective films have been described (66 J. Opt. Soc. Am. 515-519, 1976; 66 J. Am. Ceramic Soc. 302-307, 1983). The antireflective films have steep refractive index gradients for making broad band AR layers. The films are highly porous with the pores being disordered and interconnected. The pores capture air within the AR material being formed which help produce a refractive index gradient.

20 Mass transport, or mass transfer, is a well established phenomena. It can arise from the presence of a concentration gradient, temperature gradient, electrical field, gravity, etc. Mass transport in a solution is very sensitive to solution movement or flow or convection.

25 Mass transport may also be influenced by the diffusion coefficient or charge of materials in the solution.

In a static diffusion limited reaction, a concentration gradient can be formed as the diffusion layer is depleted and the analyte concentration is reduced at the surface. Analyte from a higher concentration zone SSSD/62816. v01

in the sample must diffuse to the surface for binding. Only sample near the surface will be bound. Replenishing analyte to the diffusion layer or barrier limits the binding reactions. Convective mass transport effects can 5 serve to disrupt or modify the diffusion barrier.

Solution flow, mass transport, in a highly porous or interconnected surface is turbulent, producing plug or convection flow characteristic. However, in a channeled surface, the hydrodynamic mass transport creates laminar 10 flow characteristics. Plug flow causes the solution to mix by convection and then advance along its path. ensures that the diffusion barrier is minimized as sample flows laterally across the porous material. In an assay system, plug flow could increase the probability of non-15 specific adhesion of non-analyte material and subsequent visualization reagents. However, the convective flow will tend to increase the contact of analyte with available binding sites as the flow path is followed by fresh solution which repeatedly contacts the available binding sites.

Solutions which flow through or across channeled material are essentially static when in contact with a solid, uniform surface until a channel is encountered. Flow through that channel creates laminar flow. 25 while a reaction is diffusion limited, material flow is influenced such that the diffusion barrier or layer is The convection introduced by channels disrupted. continuously forces new analyte to the surface eliminating the dead layer near the pore. While, also preventing the 30 formation of a diffusion barrier which meets the static

SSSD/62816. v01

20

condition between the pores. Thus, the laminar flow continuously brings new bulk into the diffusion boundary. It is commonly believed that the plug flow system is more efficient in overcoming the diffusion limitation than the laminar flow system. Applicant has suprisingly discovered that for the optical assay devices of the present invention laminar flow is more effective than plug flow systems.

In a static solution/solid reaction, the diffusion barrier, after 20 seconds, is $\delta(t)=2.8 \times 10^{-3}$ cm $(\delta(t)=2(D_0t)^{-1/2})$. D_0 is assumed to be 1×10^{-7} cm²/sec for common biologicals. In a hydrodynamic mass transport case, the diffusion barrier is essentially independent of time and $\delta(0)=3.7 \times 10^{-4}$ cm $(\delta(0)=1.61 \ (D_0)^{1/3} \ (\omega v^{1/6})^{-1/2})$. Where ω is the angular frequency based on a solution moving across an assumed solid having an angular velocity of ω and v is a function of the solutions viscosity (kinematic viscosity). The value of v based on a solution moving across an assumed solid having an angular velocity of ω was assumed to be $0.01 \ \text{cm}^2 \ \text{sec}^{-1}$ (water). Calculations are derived from Ficks Law.

Summary of the Invention

The present invention features means to introduce mass transport by laminar flow of a sample potentially containing an analyte across and through the layers of an optical assay device.

Such devices (see Fig. 1) comprise a support (channel-containing or porous), an optically functional layer, an attachment layer and may or may not contain an SSSD/62816. v01

analyte specific receptive layer. The optically functional layer can be provided on the support by a thin film coating process. This layer contains the active components required to produce signal upon analyte binding 5 and is selected based on the desired final assay device and the method of analysis used to interpret the assay results. This layer comprises an optical base layer with or without an antireflective layer. When the optically functional layer includes an AR material, the final assay 10 device allows for visual determination of the assay result. The optically functional layer is coated with an attachment layer. The attachment layer is included to provide a stable environment for the retention of an analyte specific receptive material or a means by which 15 the analyte itself is retained. Analyte binding to the specific receptive material on the attachment layer is achieved by either physical or chemical adsorption due to a specific interaction between an analyte and the analyte specific surface. Alternatively, when the analyte binds 20 non-specifically to the attachment layer, analyte is detected through the subsequent specific binding of an analyte specific binding reagent.

One such means for producing mass transport/laminar flow is by providing a channel containing solid support (see Fig. 2A). The channel containing solid support can inherently contain the channels or can be modified to introduce channels by the removal of discrete, but limited, areas from up to 15% of the solid support. The optically functional layer is applied to the channel-containing support in a manner which will maintain the

SSSD/62816. v01

channels. Together these layers promote laminar flow of the sample.

Another means to achieve laminar flow of a sample through or across the layers of an optical assay device is to provide a channel containing optically functional layer and an underlying porous support (see Fig. 2B). The porous support while open to fluid flow, does not offer the desired channel flow characteristics or optical properties. Thus, the channels are introduced into the optically functional layer by chemical, mechanical, photochemical, lithographic or other known means. One requirement of this design is that the optically functional layer be applied such that the optical properties (primarily refractive index) are based on those of the optical base layer, not a composite of the base layer and the porous support.

Alternatively, the optically functional layer can comprise discrete optically functional particles (spheres, rods, or fibers) (see Fig. 2C). These particles in conjunction with an underlying porous support provide channels which also result in the mass transport of the sample through or across the assay device by laminar flow. Careful control of the particle size and packing density is required to achieve the desired optical and flow properties. The solution below the optically functional surface containing solid particles may have plug flow with no affect on the binding and detection events.

The mass transport/laminar flow rate through and/or across the device can be modified by the use of absorbent

 material positioned around or underneath the layers of the assay device. Absorbent material allows for wicking which acts to draw fluid through or across the layers of the device. Also, although sample will flow through the device without external assistance, it may be pulled or pushed through the channels of the device (by negative or positive pressure respectively) either continuously (inline sampling) or in a discrete volume.

Mass transport/laminar flow of sample through the device allows for an increased contact of the bulk sample with the surface of the optical device where binding of analyte occurs. It also modifies the diffusion barrier or disrupts the concentration gradient set-up at This action causes the amount of diffusion barrier. 15 available analyte exposed to the receptive layer (or attachment layer) of the device to be increased. laminar flow of solution introduces more analyte to the receptive layer (or attachment layer) throughout the In a simple solution's total surface contact period. diffusion-limited reaction, once the diffusion layer is 20 depleted, very little additional analyte is made available to the surface receptive material.

The layers of the present device which, when exposed to solution or gas, allow analyte to move to the surface through mass transport/laminar flow. Mass transport, to the surface will be governed by the number and distribution of channels, sample parameters, and laminar flow created on or within the layers of the device. Channels can be created by the use of perforation, etching or the agglomeration and or immobilization of particles on sssp/62816. v01

or in the surfaces. Applicant has discovered that the mass transport effect by laminar flow eliminated the diffusion limitation of a solid surface assay by reducing concentration gradients within the sample fluid, while maintaining the desired optical properties.

The channels present in the layers of the optical assay device to create a mass transport/laminar flow effect, do not significantly contributed an increased binding area for the analyte. Binding is confined to the 10 surface of the device which contains an analyte specific binding layer. Electron microscopy suggests that no material binds near the channels. Furthermore, any binding events which could occur within the channels are transparent to the optical or mass detection methods 15 employed. Any method that measures a change in thickness, mass, optical mass, or some other physical property of the thin film device after binding or reaction with the analyte is a suitable means for direct physical detection. Those methods can be automated, instrumented or simple, 20 visual color determination. The channeled surfaces are not designed to retain any analyte or secondary reagent for the detection of analyte, but are only designed to increase the volume of sample made available to the analyte binding sites at the surface of the device.

In a first aspect, the invention features an optical assay device for the detection of an analyte of interest in a sample comprising a support containing channels, an optically functional layer positioned on the support such that the optically functional layer and the support allow

for laminar flow of the sample through layers of the device, an attachment layer positioned on the optically functional layer, and an analyte specific receptive layer positioned on the attachment layer.

By "sample" is meant any fluid medium, gas or liquid. Samples may be used which are high in dissolved solids without further processing and samples containing high solids (non - dissolved) may be introduced through a filter or used in conjunction with additional manual steps. Samples may be a gas, a liquid, a suspension, extracted or dissolved sample, or a supercritical fluid. Some flow properties must exist in the sample or extract to allow for mass transport/laminar flow.

Analytes may be antigens, antibodies, receptors,
15 ligands, chelates, proteins, enzymes, nucleic acids, DNA,
RNA, pesticides, herbicides, inorganic or organic
compounds or any material for which a specific binding
reagent may be found. The surfaces can be used with
multiple analytes and the designation of specific
20 interaction can be made clear with the use of surface
patterning to resolve differing analytes.

By "support containing channels" is meant that the support contains channels or holes. The support may have pre-existing channels (which inherently contain the desired diameter and density) or the channels may be created by the removal of material from the support (by any process, mechanical, photochemical, electrochemical, or chemical, which etches, drills, punctures, or in other manner introduces channels or holes into the support).

Flow rate of the solution is influenced by a combination of channel size and channel density, in addition to certain sample characteristics, e.g., viscosity.

By "optically functional layer" is meant a layer 5 which can produce a signal upon the binding of analyte to a receptive layer. The layer may have one or more coatings, including the base layer with or without an antireflective layer, designed to modify the optical properties of the support material so that the desired 10 degree of reflectivity, transmittance, and/or absorbance is suited to the final assay configuration. The optically functional layer may attenuate one or more, or a range of wavelengths of light so that a result is observable visually or by instrumented analysis in the final device 15 upon analyte binding. The attenuation of the light may involve extinction or enhancement of specific wavelengths of light as in an AR coated assay device for a visually observable color change. Or the intensity of a specific wavelength of light may be modified upon reflection or 20 transmittance from the final assay device. The optically functional layer may also modify the optical parameters of the device to allow a change in the state or degree of polarization in the incident light.

By "laminar flow" is meant the process by which the
25 diffusion layer near the surface of the optical assay
device is reduced and the amount of analyte made available
to or in contact with the receptive layer (or attachment
layer) is increased. Laminar flow is smooth and steady
and occurs as if separate layers (laminae) of the fluid

analyte.

have steady and characteristic velocities with net flow in one direction.

By "through layers of the device" is meant both flow of the sample through the layers from the surface of the device toward the support and flow across the surface of any layer of the device.

By "attachment layer" is meant any material or materials which promote or increase the binding of receptive material to the optically functional layer.

10 Also, the attachment layer should retain the receptive material with sufficient avidity for all subsequent processing and assay processes. The attachment layer must not reduce the stability of the receptive material but may increase that stability. When no receptive layer is utilized, the attachment layer non-specifically binds the

By "analyte specific receptive layer" is meant a material or materials which have sufficient affinity to bind the analyte to allow for analyte detection and which 20 is specific for the analyte of interest.

In a second aspect, the invention features an optical assay device for the detection of an analyte of interest in a sample comprising a support containing channels, an optically functional layer positioned on the support such that the optically functional layer and the support allow for laminar flow of the sample through layers of the device, and an attachment layer positioned on the optically functional layer.

In this aspect the attachment layer (without a 30 receptive layer) must be capable of non-specific capture \$\$\$SSD/62816. V01\$\$

of the analyte. Examples of attachment layers include silanes, siloxanes, various polymers, Ni, and diamond-like carbon. The analyte is detected by using an analyte specific binding reagent.

In a third aspect, the invention features an optical assay device for the detection of an analyte of interest in a sample comprising a porous support, an optically functional layer comprising discrete, optically functional particles embedded in the support such that the optically functional layer and the support allow for laminar flow of the sample through layers of the device, an attachment layer positioned on the particles, and an analyte specific receptive layer positioned on the attachment layer.

By "porous support" is meant a material which 15 presents a solution a very tortuous path for flow.

By "discrete optically functional particles" is meant any particle, sphere, rod, which is in the 10 μm to 1 mm size range and can be packed into a porous support creating a uniform, refractive index layer in a localized 20 portion of the porous support.

By "embedded" is meant that particles are trapped within the matrix of the porous support.

In a fourth aspect, the invention features an optical assay device for the detection of an analyte of interest in a sample comprising a porous support, an optically functional layer comprising discrete, optically functional particles embedded in the support such that the optically functional layer and the support allow for laminar flow of the sample through layers of the device, and an attachment layer positioned on the particles.

SSSD/62816. v01

10

In a fifth aspect, the invention features an optical assay device for the detection of an analyte of interest in a sample comprising a porous support, an optically functional layer containing channels positioned on the 5 support such that the optically functional layer and the support allow for laminar flow of the sample through layers of the device, an attachment layer positioned on the optically functional layer, and an analyte specific receptive layer positioned on the attachment layer.

By "optically functional layer containing channels" is meant that the optically functional layer has channels of the appropriate diameter and density to allow for laminar flow of sample through layers of the device. Channels can be introduced by chemical, mechanical, 15 photochemical, lithographic or other means know to those skilled in the art.

In a sixth aspect, the invention features an optical assay device for the detection of an analyte of interest in a sample comprising a porous support, an optically functional layer containing channels positioned on the support such that the optically functional layer and the support allow for laminar flow of the sample through layers of the device, and an attachment layer positioned on the optically functional layer.

In preferred embodiments the optically functional 25 layer comprises an antireflective layer; the attachment layer is nickel; the device further comprises an absorbent material surrounding the optically functional layer or beneath the support; the support comprises polyester or 30 polycarbonate, the optically functional layer comprises a SSSD/62816. v01

layer of silicon nitride positioned on a layer of amorphous silicon and the attachment layer comprises nickel; the support comprises polycarbonate or polyester, the optically functional layer comprises a layer of 5 diamond-like carbon which is positioned on a layer of germanium; the optically functional layer comprises a layer of diamond-like carbon which is positioned on a layer of germanium, and the attachment layer comprises nickel; the optically functional layer comprises a layer 10 of silicon nitride positioned on a layer of amorphous silicon; the attachment layer comprises diamond-like carbon; analyte is selected from the group consisting of antibodies, receptors, ligands, antigens, proteins, enzymes, nucleic acids, DNA, RNA, pesticides, 15 herbicides, inorganic or organic compounds.

Antireflective layers are known to those skilled in the art. Examples of some AR layers that are suitable for use in the present invention include aluminum oxide, antimony oxide, bismuth oxide, indium oxide, indium tin oxide, tin oxide, silicon monoxide, titanium dioxide, zirconium oxide, silicon nitride, silicon oxynitride, germanium oxides, cobalt oxides, carbon, tantalum oxide as well as most other metal oxides, carbides, nitrides or oxy-nitrides, diamond and diamond-like carbon.

By "absorbent material" is meant a material which has a capacity to draw (wick) and retain solution away from a surface that the material is in contact with. The material can surround the optically functional layer and/or be positioned under the support. Use of a

The little film only the time of the time that the little can that the following the transfer of the transfer

combination of material of increasing or decreasing absorbance allows for control of sample movement.

A further preferred embodiment is the use of polycarbonate as the support, germanium as the base optical layer (> 300Å), and diamond-like carbon which functions as both the antireflective and the attachment layers (300-800 Å - depending on the color change selected or desired).

In a seventh aspect, the invention features a method for detecting the presence or amount of an analyte in a sample comprising the steps of providing a device comprising a support, an optically functional layer positioned on the support, an attachment layer positioned on the optically functional layer, an analyte specific receptive layer positioned on the attachment layer, applying a sample to the device such that the sample is drawn by laminar flow through or across layers of the device, and the analyte binds to the analyte receptive layer causing a mass change on surface of the device thus indicating the presence or amount of the analyte in the sample.

By "through and across all layers" is meant that sample solution will flow vertical and/or horizontal to or through the optical device depending on the device design and channel distribution.

By "mass change" is meant a change in thickness, optical thickness (refractive index x thickness), or material deposition (mass or optical mass) on the optically functional layer. Mass change can be an

increase or decrease in one or more of the surface materials.

In an eighth aspect, the invention features a method for detecting the presence or amount of an analyte in a 5 sample comprising the steps of providing a device comprising, a support, an optically functional layer positioned on the support, an attachment layer positioned on the optically functional layer, and applying the sample to the surface of the device such that the sample is drawn 10 by laminar flow through or across layers of the device, the analyte binds to the analyte attachment layer, and providing an analyte specific binding reagent which binds the analyte bound to the attachment layer causing a mass change on the surface of the device thus indicating the 15 presence or amount of the analyte in the sample.

By "analyte specific binding reagent" is meant a reagent which will specifically react with the surface captured analyte.

In preferred embodiments the support contains 20 channels; the support is porous and the optically functional layer comprises particles; the support is porous and the optically functional layer contains channels.

In a ninth aspect, the invention features a method for constructing an optical assay device with laminar flow properties, comprising the steps of providing a support, providing an optically functional layer on the support such that the optically functional layer and the support allow for laminar flow of a sample through or across 30 layers of the device, providing an attachment layer on the

SSSD/62816. v01

optically functional layer, and providing an analyte specific receptive layer on the attachment layer.

The optically functional layer may participate in the laminar flow by conforming to channels present in the underlying support, or by having channels or holes directly introduced into the optically functional layer which direct sample down to a porous support, or by comprising particles which create channels or holes through which sample passes down to a porous support.

In a tenth aspect, the invention features a method for constructing an optical assay device with laminar flow properties, comprising the steps of providing a support, providing an optically functional layer on the support such that the optically functional layer and the support allow for laminar flow of a sample through or across layers of the device, and providing an attachment layer on the optically functional layer.

In preferred embodiments, the support contains channels; the support is porous and the optically functional layer comprises particles; the support is porous and the optically functional layer contains channels.

In an eleventh aspect, the invention features a composition comprising a support and an optically functional layer which is useful for promoting laminar flow of sample through the layers.

By "promoting laminar flow of sample" is meant a material or design or process which causes sample solution to move through or across the optical assay device under conditions which establish a mass transport/laminar flow.

SSSD/62816. v01

In a preferred embodiments, the support contains the support is porous and the optically channels; functional layer comprises optically functional particles; the support is porous and the optically functional layer 5 contains channels; the support comprises polycarbonate and the optically functional layer comprises a layer of amorphous silicon; the support comprises polycarbonate and the optically functional layer comprises a layer of silicon nitride positioned on the amorphous silicon; the comprises polycarbonate and the optically 10 support functional layer comprises germanium; the support comprises polycarbonate and the optically functional layer comprises a layer of diamond-like carbon positioned on a layer of germanium; the support comprises polyester and optically functional layer comprises 15 the amorphous silicon; the support comprises polyester and the optically functional layer comprises a layer of silicon nitride positioned on a layer of amorphous silicon; the support comprises polyester and the optically functional layer comprises germanium; the support comprises polyester and the optically functional layer comprises a layer of diamond-like carbon positioned on a layer of germanium.

a twelfth aspect, the invention features a composition of diamond-like carbon useful as an attachment layer. 25

In a thirteenth aspect, the invention features assay device for the detection of an analyte of interest comprising a support, and an attachment layer positioned on the support comprising diamond-like carbon.

20

In a fourteenth aspect, the invention features an optical assay device for the detection of an analyte of interest comprising a support, an optically functional layer positioned on the support, and an attachment layer positioned on the optically functional layer comprising diamond-like carbon.

By "assay device" is meant a device useful for the detection of an analyte.

By "support" is meant any surface on which an assay

10 for an analyte can be performed including but not limited
to microtiter plate, ceramics, metals, slides, cuvettes,
test tubes, diffraction gratings for surface plasmon
resonance, membranes, filter paper, silicon, glass,
piezoelectric structures for resonance or oscillation

15 studies, and any compatible surface/detection system
combinations. Coatings can be applied uniformly over the
surface of the support or in unmasked areas of the
support. Supports may be in a range of shapes and
configurations.

By "attachment layer" is meant any material or materials which promote or increase the binding of the receptive material to either the support or the optically functional layer, if it is present in the device. When no receptive layer is utilized, the attachment layer non-specifically binds the analyte.

By "diamond-like carbon" is meant a layer composed of a uniform film or packed particles which consist of diamond (synthetic or natural), monocrystalline diamond, resin type diamond, polycrystalline diamond, diamond-like carbon, amorphous carbon with diamond like properties (hardness and surface energy), amorphous hydrogenated DLC or carbon films, non-crystalline to crystalline carbon films with diamond like properties or diamond-like material with a chemical composition ranging from graphite-like to diamond.

By "optically functional layer" is meant a layer which can produce a signal upon the binding of analyte to a receptive layer or which can produce a signal upon binding of analyte non-specifically to an attachment layer 10 along with binding of an analyte specific reagent. layer may have one or more coatings, with or without an antireflective layer, designed to modify the optical properties of the support material so that the desired degree of reflectivity, transmittance, and/or absorbance 15 is suited to the final assay configuration. The optically functional layer may attenuate one or more, or a range of wavelengths of light so that a result is observable visually or by instrumented analysis in the final device upon analyte binding. The attenuation of the light may 20 involve extinction or enhancement of specific wavelengths of light as in an AR coated assay device for a visually observable color change. Or the intensity of a specific wavelength of light may be modified upon reflection or transmittance from the final assay device. The optically 25 functional layer may also modify the optical parameters of the device to allow a change in the state or degree of polarization in the incident light.

In preferred embodiments of these devices, an analyte specific receptive layer is positioned on the attachment layer; the attachment layer non-specifically binds analyte SSSD/62816. v01

selected from the group consisting οf antibodies, receptors, nucleic acids, polysacchrides, lipopolysacchrides, enzymes, proteins, microorganisms, fragments derived from microorganisms, haptens, drugs, food contaminants, environmental agents such as, but not limited, to dioxane, and allergens, ligands, chelators, and analogs or derivatives thereof; the receptive layer comprises biomolecules selected from the group consisting antigens, antibodies, receptors, nucleic acids, polysacchrides, lipopolysacchrides, enzymes, proteins, microorganisms, fragments derived from microorganisms, haptens, drugs, food contaminants, environmental agents such as, but not limited to, dioxane, and allergens, ligands, chelators, and analogs or derivatives thereof; 15 the diamond-like carbon is coated on the support to a thickness of 50 Å; the diamond-like carbon is coated on the optically functional layer to a thickness of 50 Å; the diamond-like carbon is coated on the support to a thickness of 50 to 3000 Å; the diamond-like carbon is 20 coated on the optically functional layer to a thickness of 50 to 3000 Å; the diamond-like carbon is coated on the support by a process selected from the group consisting of shock-synthesis technique, sputtering, thermal radiomicrowave-supported plasmas, frequency and filament, direct current plasma, ion beam technique, chemical vapor deposition, plasma deposition, and ion beam gun; the diamond-like carbon is coated on the optically functional layer by a process selected from the group consisting of shock-synthesis technique, sputtering, thermal radio-frequency and microwave-supported plasmas, SSSD/62816. v01

heated filament, direct current plasma, ion beam technique, chemical vapor deposition, plasma deposition, and ion beam gun; the diamond-like carbon comprises industrial diamonds.

5 Processes for coating diamond-like carbon are described in Bachmann et al., <u>Chemical and Engineering</u>
News, page 24, May 15, 1989.

By "biomolecule" is meant material which is an analyte of interest or which specifically binds an analyte of interest (i.e., a receptive layer). Biomolecules include antigens, antibodies, receptors, nucleic acids, polysacchrides, lipopolysacchrides, enzymes, proteins, microorganisms, fragments derived from microorganisms, haptens, drugs, food contaminants, environmental agents such as, but not limited to, dioxane, and allergens, ligands, chelators, and analogs or derivatives thereof.

One advantage of the present invention is an enhanced sensitivity due to an increase in the available or useable sample volume which is brought in contact with the analyte specific receptive material by the mass transport/laminar flow effect. This system can provide an increase in analytical sensitivity of at least 40 fold.

A second advantage is the reduction of the assay performance time. The incubation times are decreased by delivering new analyte to the surface through fluid mass transport/laminar flow which does not occur in simple diffusion. The time per step basis is reduced from the minute time scale to the seconds time scale due to efficient delivery of material to the surface by mass transport/laminar flow and increasing the sample volume sssp/62816. v01

applied to the surface. The increased sensitivity and speed will be especially useful for the detection of analytes such as antigens or DNA in samples.

A third advantage is that the incubation time can be controlled by the wicking rate, differential pressure, channel size, and sample viscosity, rather than manually timing each step. All subsequent surface incubation times may be of a similar time frame. Another possibility is to use layers of wicking materials with different capillary 10 rates, wetability rates, or flow characteristics to control incubation times.

A fourth advantage is ease of manufacturing. materials which are useful as layers of the devices are compatible with continuous on line web processing. 15 optical processing can be done in one step or one continuous operation. There is also an economy of scale as large sheets of materials can be processed. addition, the yield for any one step is improved over that manufacturing discrete components of a device. Furthermore, attachment layers (e.g., Ni, diamond-like carbon) can be applied while processing the optical layers. Also, use of these materials allows for flexible optical design, as the optical layers can be readily interchanged and additional layers of materials (e.g., AR, receptive layer) can be easily added.

A fifth, the advantage of using a device which utilizes mass transport/laminar flow characteristics in an automated system is that samples may flow through the surface eliminating the need for vacuum and pressure

20

rinsing which creates aerosols and makes containment difficult.

The methods and devices of the present invention are distinct from the prior analytical methods which all rely 5 on the adhesion or capture of an analyte specific reagent within the numerous pores or fibers within the membrane or In these methods, such membrane or filter material. filter material is used to contain the specific binding reagent, separate the unreacted sample material from the 10 bound analyte and increase the surface area available for binding reagent. The binding reagent is found on the surface and within the pores and detection can occur to some depth within the porous material dependent on the signal generation method used. These materials employ 15 overall porosities of 60%. The pore sizes of these materials are on the order of 0.45 microns. The pores or networked surfaces are highly complex and interconnected. This introduces a plug flow type of system. distinction, in the present invention the channels do not 20 exceed 15% of the total surface area of any layer and are discrete with no interconnections producing a flow that is laminar in character. A further distinction is that binding of analyte within the pores or channels is insignificant and does not contribute to the generation of 25 detectable signal.

Pores have been introduced into an antireflective layer to alter the optical properties of that layer and create a gradient in the refractive index. In contrast, in the present invention channels are introduced into the optically functional layer only to allow for the laminar SSSD/62816. v01

The first start start

flow of sample through an assay device. Furthermore, very disordered highly porous films used to produce broadband AR films are not compatible with the desired devices of this invention. In a biological assay, these types of porous AR films would tend to encourage the majority of binding events to occur within the porous film not at the surface of the AR film. Also, broadband AR films produce very weak and minor color change with a corresponding change in thickness or mass. The devices of the current invention use narrowband AR layers which are designed to produce very strong color changes which are extremely intense. Color transitions occur over a very small thickness range.

described methods materials The and application can be used across a wide range of analytical testing needs. In particular, the devices produced with these processes are of utility to the medical diagnostic The devices may be used in a wide range of applications where analyte capture is required, including but not limited to: infectious disease testing, cancer 20 environmental testing, drug monitoring, diagnosis, therapeutic drug monitoring, DNA testing, and cardiac The devices produced with these materials and methods can be used in fields as diverse as medical diagnostics and environmental monitoring or food screening 25 and testing applications.

Other features and advantages of the invention will be apparent from the following description of the preferred embodiments thereof, and from the claims.

The articles and publications in this application are hereby incorporated by reference.

Description of the Preferred Embodiments The drawings will briefly be described.

5 Drawings

Figure 1 is a schematic diagram of the layers of a channel-containing optical device. All layers illustrated need not be included in any particular embodiment of such a device. The location of channels is not shown.

10 Figures 2A-C are schematic diagrams of the possible combinations of support and optically functional layers that allow for laminar flow of sample through the device. Figure 2A shows a device in which the flow characteristics desired in the final assay device are introduced through the support material which contains channels. Figure 2B shows an optically functional layer with channels on a porous support. Figure 2C shows a combination where a porous support is selected and the channels are created by packing of discrete particles which also impart the optical functionality to the final device.

Figure 3 is a graph comparing diamond-like carbon (DLC) to T-Polymer for capture of Chlamydia specific lipopolysaccharide (LPS) at 1:1000 dilution of LPS. The y-axis indicates the absorbance reading for TMB substrate conversion to product corrected for background (absence of LPS). The lot of DLC is represented on the x-axis. Solid rectangles represent T-polymer. Stippled rectangles represent DLC.

Figure 4 is a graph comparing diamond-like carbon (DLC) to T-Polymer for capture of Chlamydia specific lipopolysaccharide (LPS) 1:5000 dilution of LPS. The y-axis indicates the absorbance reading for TMB substrate conversion to product corrected for background (absence of LPS). The lot of DLC is represented on the x-axis. Solid rectangles represent T-polymer. Stippled rectangles represent DLC.

Support

A range of materials are suitable for the production of the channel containing support. They include cellulose acetate, PETE, polyesters, polycarbonates, glass particles, silica particles, TiO2 particles, metal and non-metal particles, woven and non - woven materials, 15 nylon, filter paper, membranes, polysulfones, porous glass, polypropylenes, polyurethanes or any polymer, plastic, and metals or non-metals. The support should provide the very limited distribution and size of channels (in flow across or over surface arrangements) required to allow mass transport/laminar flow in the final device.

The channels must be 0.01 to 14 microns and must not exceed 15% of the total surface area. Channel distribution should be relatively uniform across the surface. The channel may be an inherent property of the 25 selected support or may be introduced into the support. be chemically, photochemically, The support may mechanically, or electrochemically modified. For example, two dimensional microporous screens can be created by bombardment of a polyester or polycarbonate web material SSSD/62816. v01

10

15

between fusion plates and subsequent etching in a hot base bath for a period of time required to etch away the desired channel diameter. The support may be between 10 μm to 30 μm in thickness. The advantage of a limited channel density is the production of non - tortuous paths which permit for low outgassing in the subsequent deposition steps, and reduction of material retained in the channel structure. The channels created are discrete and there is no interconnection within the materials.

There are no limits on the support as long as the base optical layer can be applied to all surfaces uniformally (the base optical layer must remain intact for the optical detection of analyte) and it does not interfere with mass transport/laminar flow of the sample solutions applied to the uppermost surface of the final optical device.

No specific optical qualities need be inherent in the support. However, with thinner base optical layers deposited on the support, a light absorbing support provides an optical stack that is easier to visualize with the unaided eye, due to absorption of stray light and the removal of light passing from the back surface to the front.

The support should be chemically inert to the solvents involved in extraction or carrier solvents of the analyte of interest. For example, preferred inexpensive robust supports include polyester and polycarbonate which are unaffected by the solutions used in performing current applications.

20

25

The channels of the solid support can be controlled such that the use of wicking or fibrous underlying materials are not necessary to facilitate flow through or over the device. However, very controlled flow can be obtained by matching the channels of the support and a fibrous backing such that the average resident time for the sample volume remains within a specific time window. Control of flow through or over the device is only to assure that the reaction times remain constant within a given set of time parameters. An absorbent pad at one end 10 or underneath the support may be required for solution containment and to assure that flow rates dictated by the wicking material remain constant with saturating volumes When differential pressures control flow of solution. 15 rates the absorbent will be placed for solution uptake and containment purposes.

When the support is a highly porous, tortuous path material, the base optical and AR layers may be used to control the channeled effect. Highly porous materials may not be compatible with the optical devices of this invention. These supports could introduce scatter or other undesirable effects. The base optical layer over this porous support should be thick enough to cause the refractive index of the bulk base material to predominate in the device design.

Optically functional layer

The optically functional layer consists of a base layer and may also consist of an AR layer.

The base optical layer serves to provide the optical characteristics required for creating the appropriate reflectance, AR, adsorption, or transmission properties. It must be sufficiently dense to eliminate stray light 5 leaking or back scattering from the backside of the support. The material must have a refractive index of greater than 3.0, so that it controls the gross percent reflectivity. This will impact AR layer selection by value of the refractive index and suitability to the 10 instrumented formats, by controlling reflectivity or transmittance etc. If the base layer is too thin then the effective refractive index may be based on the composite indices of the base optical layer and the support. A wide range of thicknesses are possible for the base layer once 15 the above limitations are addressed.

Thicker layers of base optical material will increase the percent reflectance. Lower reflectivities are important in visualizing the color change with the unaided eye. However, in an automated system higher reflectivities are important to sensitize small thickness changes for instrumental analysis.

Any base optical material may be used for production of the new device. Various films deposited on the channel containing solid support surface, or the spheres, rods, or fibers embedded in the porous supports may consist of but are not limited to amorphous silicon, polycrystalline silicon, lead telluride, titanium, germanium, cobalt, gallium, tellurium, iron oxide, or chromium. It has been found that alteration of the thickness of the base optical film on the support can be used to control overall sssp/62816. v01

reflectivity of the optical surface which will have applications in the use of automated systems and in tailoring the optical surfaces for various devices. But this has no significant impact on the color change assay methods.

The base optical layer may conform to the channels in the support or channels may be directly introduced into the base optical layer or particles may be used which do not require an underlying channel-containing support.

Over the base optical material can be applied one or more antireflective (AR) layers. These layers may consist of: aluminum oxide, antimony oxide, bismuth oxide, indium oxide, indium tin oxide, tin oxide, silicon monoxide, titanium dioxide, zirconium oxide, silicon nitride, silicon oxynitride, germanium oxides, cobalt oxides, carbon, tantalum oxide as well as most other metal oxides, carbides, nitrides or oxy-nitrides, diamond and diamond-like carbon. All AR materials may be applied by processes known to those skilled in the art.

20 For a visual assay device, the device must support a base optical layer with a higher index than the AR layer to be formed on the side opposite of the base layer. The preferred embodiment is in the use of a base optical layer that has a real refractive index that approximates the square of the real index of the AR layer. The imaginary index of the base optical layer need not fit any specific function.

The AR layer must have a real refractive index which approximates the square root of the real index of the base optical layer. In addition, the imaginary index of the AR \$\$\ssp\\62816. v01\$

layer should be fairly low in order to minimize absorption of light by this layer. However, the absorption characteristics of the AR layer can be used to enhance wavelength dependence for use in automated detection systems, such as reflectance or scatter measurements or detection of extinction parameters. The number of AR layers can be from one to four before the optical characteristics begin to break down. However, fewer numbers of layers offer advantages in ease of development and manufacture.

The compatibility between layers need only be that they adhere well enough to each other for the test result to be visualized and permanent if possible. Higher index compatible materials throughout the stack offer the advantage of higher contrast color changes for smaller thickness changes of the analyte specific layers.

The preferred color change will be from a gold or yellow to blue color upon attachment of the specific analyte to the surface. The thickness of analyte film 20 needed to promote such a color change and the color density of the color development can be controlled by the materials in the stack.

In depositing the AR layers on a channeled material, the AR material should not fill up channels with material so that they become plugged. Thicknesses can be controlled to eliminate significant plugging. Another reason for using a channeled-containing support such as polyester or polycarbonate is that the non-tortuous

20

25

30

pathways will not tend to plug up as would tortuous pathway materials.

In the case of the use of an instrument designed to measure reflectivity, the AR layer can be adjusted such that the sharpest change in reflectivity occurs at a specific wavelength of interest upon interaction of the analyte specific surface with the analyte of choice.

In the case of the visual test, the thickness of the layers can be set such that a thickness change will provide a sensitive color development. The AR layers used are deposited such that a gold to blue color change defined as sensitive color change because it constitutes the highest contrast color change (to the human eye) in antireflection conditions. Other color combinations may provide easier interpretation or flexibility to the assay format. All are easily obtained by changing material combinations and/or thicknesses.

A laminar flow effect can also be achieved by deposition of small particles coated with an AR layer and a biological binding layer (receptive material) then implanting these within a porous support. The AR coated particles may also be used in the chromatographic format, may be easily patterned, and maintain the permeability of the support. The AR coated beads must be packed into the membrane to provide sufficient density and uniformity in refractive index to prevent loss of signal due to scattering or absorption of incident light. Particles should be in the $1\mu m$ to $3\mu m$ size range and pack well into the porous support to provide a dense uniform optical surface.

The particles aid to promote mass transport/laminar flow of fluid media to the surface of the optical device by allowing flow around the particles into the porous or adsorptive support. In addition, the use of particles offers the flexibility of a chromatographic format wherein the analyte binds and fluid moves the particles through a tortuous or non - tortuous path to an immobilization or concentration area for detection.

Attachment layer

A large number of chemical modifications of the 10 optically functional layer can be made by silanes, siloxanes, and various polymers. These may be deposited in the vapor phase, sprayed, or dipped. Solution chemistry may be used to introduce additional materials to These materials are used to promote and 15 the surface. enhance adhesion or attachment of the analyte specific binding reagent to the optically functional layer or provide a surface for non-specific capture of an analyte. of non-specific capture, specific case identification of the analyte is achieved with an analyte specific reagent which binds captured analyte. When the surface modifier latexes are used to bind the analyte specific binding layer, they produce a minor contribution to the total optical surface but are considered relevant to the design of the total device. Thus, one layer, preferably the AR layer, is adjusted to compensate for the added material.

The thickness of the attachment layer will be optimized for each specific capture molecule or analyte. The attachment layer will not have an appreciable affect on the channels. The attachment layer thickness will be less than 10 times as thick as the AR layer. The metals may also help stabilize molecules which are weakly bound to the AR layer.

While the attachment layer itself does not play a significant role in the optical characteristics of the stack. The attachment layer too can be altered to fit a visual or instrumented format. In the instrumented format, the attachment layer morphology may be controlled in order to fine-tune the reflectance characteristics required for the best sensitivity and selectivity. In altering the morphology the absorbance characteristics of the thin film can be controlled.

The attachment layer must bind protein or undergo some thickness change itself upon analyte capture. The attachment layer need not fit any particular physical characteristics as the thickness and conditions of this layer offer much flexibility to the stack design. A range of materials are well suited as attachment layers. These include the chemical modifiers such as silanes, siloxanes or polymers. In addition, a diamond-like carbon can serve as a hydrophobic attachment layer.

Surprisingly, an inorganic attachment layer for the analyte specific reagent has been found to work well in these optical assay devices. Materials which function in this role include platinum, nickel, gold, nichrome (80% nickel, 20% chromium), and bismuth oxide overlaid with SSSD/62816. v01

25

gold where the bismuth oxide is included to promote the adhesion of the gold layer. These materials may be deposited via a vacuum vapor deposition, sputtering, photoreduction, or electrochemical reduction of a metal at 5 the surface if semiconductor or conductive materials are used as the AR layer. Examples of semiconductor materials include titanium dioxide and silicon nitride. representative conductor materials are indium tin oxide (ITO) or tin oxide. The preferred embodiment is the use 10 of vacuum deposition technology to apply the metal layer. The advantage of vacuum vapor deposition is that it allows for tighter control of the deposited thickness and rapid processing of web materials. The thickness range can be from subnanometer to 5 nanometers without greatly 15 affecting the AR layer or reflections. Greater than 5 nm can be coated with nickel, nichrome, and platinum if the underlying AR layer is at the thinner edge of its optimal thickness range without appreciably affecting the AR condition. However, the thicker metal layers decrease the 20 reflected intensity due to absorption and therefore, should be kept as thin as possible while promoting increased adhesion of biomolecules. The layer should be between 10-100Å. In addition, annealing and other treatments can be used to change the morphology of inorganic attachment layers.

A preferred attachment of specific capture molecules will be based on interaction of the molecules with a nickel layer on the surface of the AR coating. The nickel layer will be between 1 and 10 nm thick. Deposition of 30 the nickel layer will preferably be done by vacuum vapor SSSD/62816. v01

deposition. Vacuum vapor deposition will allow for very tight control over the thickness and excellent repeatability from lot to lot.

Films consisting of diamond or Diamond Like Carbon (DLC), a coating which maintains many properties of diamond and some of graphite, are well known. DLC is used to describe a layer composed of a uniform film or packed particles which consist of diamond (synthetic or natural), monocrystalline diamond, resin type diamond, 10 polycrystalline diamond, diamond-like carbon, amorphous carbon with diamond like properties (hardness and surface energy), amorphous hydrogenated DLC or carbon films, non-crystalline to crystalline carbon films with diamond like properties or diamond-like material with a 15 chemical composition ranging from graphite-like to diamond. DLC is extremely hard, chemically resistant (inert), optically transparent, and has the thermal and low friction characteristics of pure diamond coatings. DLC films can range in hardness and composition from amorphous carbon to semicrystalline diamond like carbon to single crystal diamond. DLC film can be generated on supports by techniques such as chemical vapor deposition, sputtering, and ion beam deposition methods, plasma deposition, ion beam gun, thermal radio-frequency 25 or microwave-supported plasmas, heated filament, direct current plasma and shock-synthesis techniques.

Graphite consists of ring structures formed from sp² hybridized carbon atoms. Diamond consists of covalently bonded aliphatic sp³ hybridized carbon atoms. DLC depending on the deposition method will have varying

amounts of sp² and sp³ characteristics. Some of the DLC bonds may be terminated in hydrogen. The relative amount of the sp² and sp³ character determines the overall film properties. Characterization of the film 5 can be conducted by contact angle measurements (measures hydrophobicity), electron energy loss spectroscopy (EELS), reflection high energy electron diffraction (RHEED), and fourier transform infrared spectroscopy (FTIR). Carbon with sp³ hybridization has a Raman peak 10 at 1332cm⁻¹ while carbon with sp² hybridization has peaks at 1345cm⁻¹ and 1540cm⁻¹. A material that is a mixture of the two forms of carbon may exhibit a combination of these Raman peaks. The amount of sp² and sp³ character also determines the film hardness. Varying the amount 15 of hydrogen in the gas can affect the electron density, hardness, and other properties of the film including the hydrophobicity of the film.

As those skilled in the DLC coating art will appreciate, DLC can be coated onto a variety of support 20 materials such as silicon, silicon coated supports, plastics, plastic silicon composites, ceramics, metals, or composites made from a combination of these materials. DLC can be made under low temperature (100°C or less) or high temperature conditions. The DLC can be 25 made from methane, olefinic gases, carbon monoxide, in the presence or absence of hydrogen. The deposition process from the carbon-containing gases can produce a variety of DLC films depending on the process type,

It has been discovered that DLC films on silicon or

temperature, gas composition, amount of non-carbon material, and other reaction conditions.

polycarbonate or other surface can strongly adhere

5 biological molecules (biomolecules) such as antibodies,
antigens, polysacchrides, lipopolysacchrides, nucleic
acids, and other materials. While DLC coatings have
been produced through a number of methods (all of which
are suitable for the present invention), direct

10 deposition through the use of ion beam techniques is the
preferred method for providing hydrogenated DLC films
for use as biological attachment coatings. Films can be
produced at or near RT allowing for the use of a variety
of substrate materials as previously described.

DLC coatings can be made by passing methane through an inductively coupled Rf ion gun whereby the methane is broken down to provide a hydrogenated amorphous diamond film. The process parameters and materials will determine the surface characteristics of the coated surface.

In addition to altering the hydrogen content of DLC (hydrogenated DLC), the hydrophobicity of DLC can be changed by altering the sp^2/sp^3 characteristics.

The DLC films used for the purposes of

bioattachment typically range in hardness from 15-50 Gpa
as measured by a nanoindenter. The refractive index of
these films typically range from 1.5 to 2.2 as measure
by a Gaertner ellipsometer. Biomolecule attachment
appears to be equivalent over this range of material
hardness and index. Although, not bound by any theory,

it is generally believed that the lower hardness amorphous hydrogenated carbon films exhibit more hydrophobic character while the higher hardness films exhibit more electron rich sites due to the occurrence 5 of more sp² character (C=C) on the surface.

The hydrophobic character is believed to be the primary mechanism for the attachment of biomolecules. However, the electron rich areas may promote electrostatic interactions as well. It is possible to 10 tailor the hydrophobicity and electron density of the DLC surface to the type of biological molecule to be immobilized. This can be done based on an analysis of the sp²/sp³ characteristics of the surface and the characteristics of the biomolecule. For example, the more the sp³ character of the DLC surface, the greater the hydrophobicity and the more the sp² character the greater the electron density (electrostatic surface). Those in the art are familiar with techniques to determine the hydrophobicity and electron density of 20 biomolecules.

Alternatively, the surface/biomolecule can be matched for optimum retention of the biomolecule empirically. To empirically match a DLC surface and a biomolecule, a variety of DLC surfaces are produced. the molecule is hydrophobic then the DLC is coated to supply surfaces of varying degrees of hydrophobicity. Techniques are known by those of skill in the art for varying parameters such as the deposition process, temperature, coating time, type and amount of carbon 30 containing gas, presence, absence, or amount of non-SSSD/62816. v01

25

carbon gas, and overall chamber pressure so that DLC surfaces can be produced which are varied in their hydrophobic character. The biomolecule is coated to the various test DLC surfaces from a coating solution of the 5 same composition, ionic strength, pH, and amount of biomolecule. The coating of all surfaces is allowed to proceed at the same temperature for the same period of time. Surfaces are then washed and dried. The amount of biomolecule retained to the varying surface 10 composition is determined. If an anti-biomolecule antibody is available it can be conjugated to horseradish peroxidase (HRP) for evaluation of the surfaces. A specific volume of a dilution of the antibiomolecule antibody conjugate is applied to each of the 15 test DLC surfaces for a period of time followed by a wash and dry step. Then a soluble TMB substrate solution is applied to the test DLC surfaces and incubated for a period of time. A specific volume of the solution is removed to microtiter wells containing a 20 a pre-set amount of stop solution and the absorbance is measured. The absorbance measured correlates with the ability of the DLC surface to retain the biomolecule.

Alternatively, biomolecule binding can be monitored by measuring the contact angle of the surface. Thus,

25 the change in contact angle (before and after biomolecule coating) may serve as an assessment of the amount of biomolecule retained. Other surface analysis techniques such as EELS, FTIR, RHEED can also serve to

assess the retention of the biomolecule on the DLC surface.

A similar approach is used to assess DLC surfaces of varying electron density for the electrostatic

5 retention of biomolecule. Techniques are known by those of skill in the art for varying parameters such as the deposition process, temperature, coating time, type and amount of carbon containing gas, presence, absence, or amount of non-carbon gas, and overall chamber pressure

10 so that DLC surfaces can be produced which are varied in their electron density.

In some applications it may be desirable to have the biomolecule immobilized to the surface as a capture reagent present in a limiting amount. Again the DLC film surface chemistry can be adjusted to restrict the amount of capture reagent immobilized.

The minimum thickness of DLC coated onto a surface to enhance biomolecule attachment has been demonstrated to be in the range of 50-500Å. However, this does not represent the upper limit. A very thin layer of approximately 50Å is adequate to provide for a cap of DLC film which will attach biomolecules. This is particularly useful when the DLC is combined with an AR layer in a visual assay device. However, the upper limit may be much higher, in the range of microns, for methods which are dependent on a tracer for signal generation. The surfaces which can be coated include a wide range of configurations, making the attachment of biomolecules to these surfaces through DLC possible.

30 Thus, DLC can be used to immobilize biomolecules in a SSSD/62816. v01

variety of sensor, electrode, ELISA, RIA, and other bioassay formats.

If the DLC is to be used as both an antireflective layer and attachment layer for an optical immunoassay 5 device it must meet the following criteria. material to be useful in an interference assay method must have a refractive index near 2.0. DLC has an index of 2 to 3 in the visible spectrum of light with a minor complex index component. This yields a better light 10 output for improved color production. When the complex index is minor there is less absorption of the incident light. The DLC must be optically transparent for some applications. A DLC cap combination with an adjusted layer of an AR film is also suitable for the generation 15 of a visible interference effect. One possible combination would be a 450Å, $n_{\rm f}$ =2.0, film of silicon nitride with a cap of 50Å DLC with an $n_f=1.7$. Those of ordinary skill in the art would be able to produce other suitable DLC cap combinations.

20 Receptive Layer

The analyte specific binding reagent may be a chelator, an antibody, an antigen, a receptor, a ligand, a protein, a nucleic acid, DNA, RNA, enzymes, any biological molecule capable of binding a specific analyte, or analogs or derivatives thereof, and or a polymer layer.

Coating of the binding reagents will be performed by either dipping the substrate in a tank of the

reagents or by spraying the reagents on and rinsing the substrate. Spot coating, ink jetting, air brushing, or other techniques may also be used. The reagents once coated, may or may not need to be overcoated with a stabilizing layer for storage purposes.

It is possible to use a non-specific capture mechanism for detection of analyte. In this assay format, the analyte may adhere to the surface through a number of chemical interactions. Once the analyte binds the optical device, a specific reagent is used to detect analyte presence (e.g., an antibody specific for the analyte to which may be attached an additional mass enhancing material).

Polyester or Polycarbonate, Amorphous Silicon, Silicon 15 Nitride and Nickel

A specific, channel containing support will include polyester or polycarbonate material with random channels ranging in size from 0.01 - 14 micrometers. Channel density of the surface is approximately 1 - 15% and

20 should be kept below 15% for optimum performance. This prevents a reduction in the effective refractive index of the AR film due to the presence of channels. The channeled support will be coated with amorphous silicon in a thickness range from 300Å - 5000Å. The thickness

25 and packing density of the base optical layer can be adjusted to control the reflectivity from the base layer. This in turn will govern the overall reflectivity and allow it to be optimized for individual applications. Optical coating materials may be SSSD/62816. v01

conformal to the channeled support. This is less necessary when an amorphous silicon is used. The channeled support must be relatively uniform. Channel density, although random, must remain approximately the same percentile basis per surface area.

The silicon nitride layer will be reactively

deposited on the amorphous silicon layer and may have a range of thickness from 30 - 70 nm which can be controlled for optimal contrast for a specific

10 application. One advantage of using the vapor phase deposition of the current optical layers is that control of the reflectivity and the contrast as well as color development will allow each surface to be tailored to a specific application. The largest differences in the

15 surface structure will be realized in the automated versus visual versions of the detection scheme.

There are many advantages to using amorphous silicon for the base layer in the device. First, amorphous silicon has a higher refractive index than polycrystalline silicon. Secondly, films of amorphous silicon can be made thinner due to the increased absorption in the visible wavelengths. Third, amorphous silicon can be deposited onto low temperature supports such as paper and plastic because surface heating is not necessary to form amorphous silicon. Amorphous silicon also exhibits excellent binding and mechanical stability over some other high index material.

A thin layer of nickel is preferred for the attachment layer for a number of reasons. First, nickel adheres very well to the AR layers outlined above and \$\$SSD/62816. v01\$

especially to silicon nitride. In addition, nickel has a refractive index of 1.78 and although its extinction coefficient is 7.4×10^5 , thin films of the metal do not appreciably affect the reflectivity of the AR layer.

The use of nickel seems to increase the overall coverage of biological molecules over the native silicon nitride. This may be due to specific interactions such as hydrogen bonding, pi backbonding and the formation of sulfide linkages to the metal.

10 <u>Examples</u>

EXAMPLE 1: USE OF ABSORBENT MATERIAL TO EVALUATE FLOW CHARACTERISTICS

The flow characteristics of the 0.6, 1, and 5 μm channeled-polycarbonate supports show the desired flow 15 characteristics when backed with cellulose acetate or other fibrous or porous wicking material. Flow characteristics of interest are the flow rate through and/or across the surface, the retention of fluid at the optical surface, and uniform flow of sample solution over entire surface. The flow rate should be selected 20 to allow sufficient reaction time assuming that 160 to 1000 μl of sample will be used. Optimal flow and drying of the surfaces are achieved when the hydrophilic channeled supports are backed with a very thin 25 hydrophobic membrane which is backed with another hydrophilic absorbent material to prevent backflow of the sample as the surface dries. The drying step, while not a necessary step in visualization of the optical

signal does produce a signal that is more easily assessed due the lower index of air compared to the fluid matrix (unless the matrix is a gas). This substantially reduces the total assay time.

5 EXAMPLE 2: ASSAY TIME REDUCTION RELATIVE TO A NON-CHANNELED SUPPORT

Test surfaces reactive to the polysaccharide antigen specific to group A streptococcus were produced on a non-channeled support (silicon) and a channeled 10 support (polycarbonate). The silicon test device is a commercially available device. The channeled support was a 1µm channel size polycarbonate which was coated with amorphous silicon followed by silicon nitride and then silylated with DCDMS as in Example 3. Once 15 silylated, the surface was coated with anti- Strep A antibody and modified STREP A OIA® assays were carried out. Antigen was extracted in a 1 minute extraction step. The extraction was neutralized with a reagent which also contains the conjugated anti-Strep A antibody 20 with HRP for precipitation of a solid film forming enzyme product. The complete extraction volume 250 μ l (but can be in excess of 300 μ l) was applied to the surface of the channeled device. Sample size for the solid non-channeled device is limited to approximately 25 35 μ l. Sample flowed through the surface of the channel device in approximately 30 seconds and was followed by 2 washes of 10 seconds each. The solid non-channel containing device requires a 2 minute incubation step followed by a single wash of approximately 20 seconds.

Then the enzyme substrate was applied to the channelcontaining optical support for at least 1 minute,
potentially for as little as 30 seconds (especially at
higher antigen concentrations). The device was then

5 washed and dried prior to visualization. The solid
device required a 2 minute incubation with the enzyme
substrate prior to the wash, dry, and interpretation.
Total reduction in assay time for the channeled-support
versus the solid support is 1.5 minutes or approximately

10 half of the total assay time. The channel-containing
device with its mass transport/laminar flow of sample
gave the same performance level, but increased speed as
compared with a standard solid optical support device.

15 EXAMPLE 3: STREP A OIA® ASSAY COMPARISON

A support of polycarbonate with 1 μm channels was coated with a base optical layer of amorphous silicon to a thickness of 2000Å. The AR layer was silicon nitride coated to a thickness of 420 Å, refractive index is 2.0.

The optical layers were applied by ion beam deposition using industry standard parameters. The attachment layer was DCDMS, 2% in 1,1,1 - Trichloroethane which was coated onto the essentially support using a vapor deposition method with no catalyst and for 10 minutes at room temperature. Anti-Strep A antibody was applied by solution coating the device for 2 hours at 45°C in a solution containing 0.1 M HEPES, pH8.0, 6 μg/ml of antibody. The device was rinsed with deionized water and used immediately. The assay of this device used 360 μl of pre-extracted antigen standard + 40 μl of

conjugate. The mixture was applied to the optical device and differential pressure (vacuum) applied for 2 minutes for each antigen standard and then 2 washes of approximately 100 μ l of water each were conducted. substrate was applied for 4 minutes followed by the above described wash procedure. Drying was accomplished by the differential pressure and the visual change was recorded. The channel-containing device when evaluated with this specific antigen preparation demonstrated a 10 cut-off level of 1:96000 with a 400 μ l sample. Use of a solid (non-channeled) support device gave a cut-off level of 1:2400 for this antigen preparation. increase in sensitivity of about 40 fold is achieved by use of the channel-containing support. Differential 15 pressure was used only to control flow to match the times in the STREP A OIA® assay (non-channel support). The use of differential pressure is not required, as the device will inherently allow for the mass transport/laminar flow of the sample.

In another experiment 300 μl sample of a 1:2400 antigen standard mixed with conjugate was applied to a sample of the channel-containing optical support and the entire volume drawn through in 30 seconds using a differential pressure system. Three sequential washes of 100 μl each for 5 seconds each were conducted. After the rinse, the substrate was applied for 1 minute and 30 seconds. Color development could be observed within 30 seconds of incubation time. A final rinse of 200 μl was passed through the channel-containing optical support for 10 seconds. The total assay time for the channel-sssp/62816. vol

containing optical support was 2 minutes and 25 seconds compared to 8 minutes for the solid support (at a cutoff level of 1:2400). It is feasible, based on this data to reduce the assay time using a channel-containing support to 2 minute and 25 seconds while maintaining comparable sensitivity to the solid support system (1:2400 cut-off level), which has been demonstrated to have excellent clinical performance.

EXAMPLE 4: COMPARISON OF DLC COATED THIN FILMS WITH T 10 POLYMERIC SILOXANE COATED THIN FILMS

Silicon wafers were coated with silicon nitride and then with either T-polymeric siloxane as described in US Patent 5,468,606. The DLC was applied as a 50Å cap. number of different DLC coatings were compared to the T-15 polymeric surface. The various DLC surface lots evaluated in this example were produced by ion beam deposition. The deposition process parameters were varied to produce slightly different DLC coatings. The parameters that can be varied include temperature, 20 coating time, type and amount of carbon containing gas, presence, absence, or amount of non-carbon gas present, and overall chamber pressure. Those skilled in the art known how to vary these and other parameters to alter the characteristics of the DLC surface. In this example 25 DLC was produced by direct ion beam deposition using methane and argon mixtures in DC and inductively coupled Rf ion guns. The methane is broken down in the plasma and deposited on a surface as a hydrogenated amorphous SSSD/62816. v01

15

diamond film. Films were produced at approximately 25°C. The temperature of the substrate being coated may also strongly influence the hardness and hydrophobicity of the DLC generated.

The surface energy of hydrogenated amorphous carbon films can be correlated with the hydrophobicity of these films. A carbon film that has a very hydrophilic surface will have a water contact angle of 60° and a surface energy of 49 ergs/cm², while a hydrophobic surface will have a water contact angle of 110° with a surface energy of 23-24 ergs/cm².

The films produced in this and other examples have a water contact angle of 71° and a surface energy of 45 ergs/cm². The amorphous hydrogenated carbon films in this and subsequent examples have a hydrogen content of between 12-23%.

The assay method involved incubating the surface with a volume of sample containing either a 1:1000, a 1:5000, or a negative of LPS antigen derived from

20 Chlamydia elementary bodies. Once the LPS is non-specifically adhered to the DLC or T-polymer surface, an anti-LPS antibody HRP conjugate was allowed to incubate on the surface and incubated for 10 minutes. Then 100µL of the soluble TMB substrate was removed and placed in a microtiter well containing 100µL and then the absorbance at 450 nm recorded. This gives a semi-quantitative comparison of the DLC coating and the T-polymer surface capture of LPS. Figures 3 and 4 compare the performance of a variety of DLC coatings to the T-polymer surfaces; the value plotted is the signal-noise (negative sample

result). At the 1:1000 LPS dilution the signal generated on the DLC surface is comparable to or in some cases higher than the corresponding T-polymer value (see Fig. 3). This indicates that the DLC surface can be successfully modified to attenuate LPS binding to a desired level. The results at the 1:5000 LPS dilution are similar to those observed for the 1:1000 LPS dilution but in some cases the level of binding to DLC is significantly improved (see Fig. 4). In other cases the DLC was significantly less able to capture LPS. Again supporting the ability of the DLC to be tailored to the desired degree of biomolecule retention.

EXAMPLE 5: DETECTION OF INFLUENZA VIRUS A OR B ON DLC SURFACES

A silicon wafer was coated with approximately 450Å silicon nitride and 50Å of DLC with a goal of a refractive index of 2.0. Measurements of the composite films thickness indicates that the wafer was coated to a thickness of 493.8±5.8Å with a refractive index of 2.058±0.003.

Both monoclonal antibodies to Influenza A and Influenza B were applied as a spot of $5\mu L$ of varying antibody concentration (equal amounts of each antibody at stated concentration were in each spot) onto the DLC surface and incubated for 10 minutes, washed, and blotted dry. Then $15\mu L$ of the conjugate (anti-Influenza A and anti-Influenza B conjugated to Horseradish Peroxidase (HRP)) was mixed with $75\mu L$ of diluted virus A or B of varying concentration (based on fold dilution in SSSD/62816. v01

20

Table 1). The Influenza A strain used was Hong Kong A (HK A) and the Influenza B strain used was Panama B. A 10μL sample of this mixture was applied to the surface and incubated for 5 minutes at room temperature. The 5 mixture was rinsed from the surface and blotted dry. Then a drop of HRP substrate was applied to the surface for 5 minutes to allow a precipitate to form. The substrate was washed and then blotted dry. Results are shown in the Table 1. The table compares the amount of antibody applied to the surface versus a negative control of PBS and the capture of HONG KONG (Influenza A strain) or PANAMA (Influenza B strain) at varying dilutions of the two viruses. Good capture of either strain of Influenza was achieved with a surface antibody spot of 5μg (0.005 mL x 1mg/mL x 1000 μg/mg).

Table 1

[Ab] Spot (mg/ml)	PBS	1/10 HK A Virus	1/10 PN B Virus	1/100 HK A Virus	1/100 PN B Virus	1/250 HK A Virus	1/250 PN B Virus	1/500 HK A Virus	1/500 PN B Virus
1.	-	4+	4+	2+	2+	1+	1+	-	_
0.5	_	4+	4+	2+	2+	1+	1+	-	-
0.05	-	4+	4+	2+	2+	1+	1+	-	1
0.01	-	2+	2+ '	-	-	-	-	-	-
0.005	-	2+	2+	-	-	-	-	-	_

25 EXAMPLE 6: DETECTION OF A LIGAND ON A RECEPTOR COATED INDUSTRIAL GRADE DIAMONDS

A receptor was immobilized on a 0.2 micron industrial grade diamonds from Key Industrial Diamond Corporation. The receptor was coated onto the diamonds

from a 1 mg/ml stock solution: $100\mu L$ volume of receptor was mixed with $10\mu L$ of diamond. The receptor was allowed to incubate over night at room temperature. A $2\mu L$ sample of this material was applied to the surface and incubated for 15 minutes. The solid support was washed with water and dried under a stream of nitrogen. This produced a DLC/receptor coated surface.

To test the functionality of the DLC/receptor surface a $15\mu L$ sample of ligand which will react with the receptor was applied and incubated for 5 minutes. The unbound ligand was rinsed from the surface which was dried under a stream of nitrogen. Then anti-ligand/HRP conjugate was applied for 5 minutes followed by a rinse/dry step. Results were read visually. All positive samples were detected (data not shown). While not optimized, this experiment indicates that industrial diamonds can be used to immobilize biomolecules and then a film coating of the immobilized biomolecule can be created.

20 EXAMPLE 7: CAPTURE OF DNA ON A DLC SURFACE

A biotinylated 14 - mer was diluted to 1 μ mole/mL in deionized water. From that stock the 14 - mer was diluted down to 10pmole/mL. A 1μ L aliquot of each dilution was applied to the DLC coated silicon nitride/polyester surface and allowed to dry for 30 minutes. Any unbound 14 - mer was washed from the surface with water and the surface dried. Each DNA spot was covered with 100μ L of a anti-biotin-HRP conjugate and incubated for 10 minutes at room temperature 888D/62816.801

followed by a rinse/dry step. The DNA/conjugate spot was then covered with approximately $100\mu L$ of a precipitating TMB substrate and incubated for 5 minutes. Again a rinse/dry step was performed. A positive indication of the capture of DNA onto the DLC surface is visualized by a color change in the applied DNA spot relative to the optical background. This experiment indicated that 10 fmoles of DNA was immobilized on the DLC surface and visualized through the precipitating enzyme reaction (data not shown).

EXAMPLE 8: DETECTION OF A DNA: DNA HYBRID ON DLC SURFACE

As in previous examples a DLC/ silicon nitride/polyester support was used. A 10 nmole aliquot of a biotinylated 14 - mer was mixed with a 10 nmole 15 aliquot of a complementary 14 -mer. The probes were allowed to anneal for 15 minutes at room temperature in 20mM Tris, pH 7.5, 15 mM MgCl2, and 50 mM NaCl (final volume $22\mu L$). Then $4\mu L$ of the hybridization solution was removed and mixed with $1\mu L$ of S1 nuclease, $22\mu L$ of 20 water, and $3\mu L$ of S1 buffer. Digestion of single stranded DNA was allowed to proceed for 15 minutes at room temperature. Then a $1\mu L$ sample of the hybrid was applied to the DLC surface and allowed to dry. surface was washed and dried. A sample of anti-biotin 25 antibody/HRP conjugate was applied and allowed to incubate 10 minutes, followed by a wash and dry step. Then a sample of HRP precipitating substrate was applied to the surface for 5 minutes. The surface was washed, dried, and visualized. With this technique 60 pmoles of SSSD/62816. v01

the biotinylated probe was detected (data not shown).

No signal was generated in the absence of complement or both probes. Signal could be generated by capture of the biotinylated probe or the hybrid in the absence of S1 nuclease.

EXAMPLE 9: CONTROL OF DLC FILM HYDROPHOBICITY

To increase the hydrophobicity of a DLC film more sp³ character may be introduced into the film or the amount of hydrogenated carbon in the DLC film may be increased. In this example the amount of hydrogenated carbon was increased in the DLC film. Ion beam deposition is one of many coating processes that can be employed. A midpoint coating protocol which deposits hydrogenated amorphous DLC at 25 Å/minute incorporates the following settings:

	PARAMETER	<u>SETTING</u>
	R _f power forward	300 W
	R _f reverse	O W
	Beam Voltage	100 mAmp
20	Acceleration Voltage	200 V
	Current Voltage	8.2 mAmp,
		constant
	Grid Temperature	170°C
	Platen Temperature	85° - 90°C
25	Flow Rate (CH ₄)	40 sccm*
	Source	8cm - off

^{*} standard cubic centimeters/minute

Variations in the hydrophobicity of the DLC film can be made by varying R_f Power Forward in 50 W increments and varying the CH4 flow rate so that a Rf Reverse of zero is maintained. All other parameters are 5 held constant within the normal constraints of the coating chamber. To produce a more graphite like DLC the gas can be changed to a mixture of CH_4 and CH_2CH_2 or to pure CH2CH2. A change in the ratio of the two gases using the parameter settings listed above would produce 10 a range of DLC films varying in hydrophobicity. For a pure CH2CH2 gas the above described parameters setting could also be used. One of skill in the art would understand that other deposition processes could be so modified to produce a range of DLC and based on the 15 above discussion of ion beam deposition, could make such modifications to other deposition processes, such as chemical vapor deposition, plasma deposition, etc.

Other embodiments are within the following claims.

15

WHAT IS CLAIMED IS:

 An optical assay device for the detection of an analyte of interest in a sample comprising:

a support containing channels,

an optically functional layer positioned on said support such that said optically functional layer and said support allow for laminar flow of said sample through layers of said device,

an attachment layer positioned on said optically 10 functional layer, and

an analyte specific receptive layer positioned on said attachment layer.

2. An optical assay device for the detection of an analyte of interest in a sample comprising:

a support containing channels,

an optically functional layer positioned on said support such that said optically functional layer and said support allow for laminar flow of said sample through layers of said device, and

an attachment layer positioned on said optically functional layer.

3. An optical assay device for the detection of an analyte of interest in a sample comprising:

a porous support,

an optically functional layer comprising discrete,

5 optically functional particles embedded in said support,
such that said optically functional layer and said
support allow for laminar flow of said sample through
layers of said device,

an attachment layer positioned on said particles, 10 and

an analyte specific receptive layer positioned on said attachment layer.

4. An optical assay device for the detection of an analyte of interest in a sample comprising:

15 a porous support,

an optically functional layer comprising discrete, optically functional particles embedded in said support such that said optically functional layer and said support allow for laminar flow of said sample through

20 layers of said device, and

an attachment layer positioned on said particles.

5. An optical assay device for the detection of an analyte of interest in a sample comprising:

a porous support,

an optically functional layer containing channels

5 positioned on said support such that said optically
functional layer and said support allow for laminar flow
of said sample through layers of said device,

an attachment layer positioned on said optically functional layer, and

- an analyte specific receptive layer positioned on said attachment layer.
 - 6. An optical assay device for the detection of an analyte of interest in a sample comprising:

a porous support,

an optically functional layer containing channels positioned on said support, such that said optically functional layer and said support allow for laminar flow of said sample through layers of said device, and

an attachment layer positioned on said optically 20 functional layer.

- 7. The device of any of claims 1, 2, 3, 4, 5 or 6 wherein said optically functional layer further comprises an antireflective layer.
- 8. The device of any of claim 1, 2, 3, 4, 5 or 6, wherein said attachment layer is nickel.

- 9. The device of any of claims 1, 2, 3, 4, 5 or 6, wherein said device further comprises an absorbent material surrounding said optically functional layer or beneath said support.
- 5 10. The device of any of claims 1, 2, 3, 4, 5 or 6, wherein

said support comprises polyester or polycarbonate, said optically functional layer comprises a layer of silicon nitride positioned on a layer of amorphous silicon, and

said attachment layer comprises nickel.

- 11. The device of any of claims 1, 2, 3, 4, 5 or 6 wherein said support comprises polycarbonate or polyester, and
- said optically functional layer comprises a layer of germanium on which is positioned a layer of diamond-like carbon.
- 12. The device of any of claims 1, 2, 3, 4, 5 or 6 wherein said optically functional layer comprises a layer of germanium on which is positioned a layer of diamond-like carbon, and

said attachment layer comprises nickel.

13. A method for detecting the presence or amount of an analyte in a sample comprising the steps of:

providing a device comprising,

a support,

5 an optically functional layer positioned on said support,

an attachment layer positioned on said optically functional layer,

an analyte specific receptive layer positioned on 10 said attachment layer,

applying a sample to surface of said device such that said sample is drawn by laminar flow through or across layers of said device, and

said analyte binds to said analyte receptive layer

15 causing a mass change on said surface of said device
thus indicating the presence or amount of said analyte
in said sample.

14. A method for detecting the presence or amount of an analyte in a sample comprising the steps of:

providing a device comprising,

a support,

5 an optically functional layer positioned on said support,

an attachment layer positioned on said optically functional layer, and

applying said sample to the surface of said device

10 such that said sample is drawn by laminar flow through
and/or across layers of said device,

said analyte binds to said attachment layer, and providing an analyte specific binding reagent which binds said analyte bound to said attachment layer

15 causing a mass change on the surface of said device thus indicating the presence or amount of said analyte in said sample.

- 15. The method of claim 13 or 14, wherein said support contains channels.
- 20 16. The method of claim 13 or 14, wherein said support is porous and said optically functional layer comprises particles.
- 17. The method of claim 13 or 14, wherein said support is porous and said optically functional layer contains channels.

18. Method for constructing an optical assay device with laminar flow properties, comprising the steps of:

providing a support,

providing an optically functional layer on said support such that said optically functional layer and said support allow for laminar flow of a sample through or across layers of said device,

providing an attachment layer on said optically 10 functional layer, and

providing an analyte specific receptive layer on said optically functional layer.

19. Method for constructing an optical assay device with laminar flow properties, comprising the steps of:

providing a support,

providing an optically functional layer on said support such that said optically functional layer and said support allow for laminar flow of a sample through and across layers of said device, and

providing an attachment layer on said optically functional layer.

- 20. The method of claims 18 or 19, wherein said support contains channels.
- 21. The method of claims 18 or 19, wherein said support is porous and said optically functional layer comprises particles.

SSSD/62816. v01

- 22. The method of claims 18 or 19, wherein said support is porous and said optically functional layer contains channels.
- 23. A composition comprising a support and an 5 optically functional layer which is useful for promoting laminar flow of sample through said layers.
 - 24. The composition of claim 23, wherein said support contains channels.
- 25. The composition of claim 23, wherein said 10 support is porous and said optically functional layer comprises optically functional particles.
- 26. The composition of claim 23, wherein said support is porous and said optically functional layer contains channels.
 - 27. The composition of claim 23, wherein said support comprises polycarbonate and said optically functional layer comprises amorphous silicon.
- 28. The composition of claim 27, wherein said optically functional layer further comprises a layer of silicon nitride positioned on said amorphous silicon.
- 29. The composition of claim 23, wherein said support comprises polycarbonate and said optically25 functional layer comprises germanium.

SSSD/62816. v01

- 30. The composition of claim 29, wherein said optically functional layer further comprises a layer of diamond-like carbon positioned on said germanium.
- 31. The composition of claim 23, wherein said support comprises polyester and said optically functional layer comprises amorphous silicon.
 - 32. The composition of claim 31, wherein said optically functional layer further comprises a layer of silicon nitride positioned on said amorphous silicon.
- 33. The composition of claim 23, wherein said support comprises polyester and said optically functional layer comprises germanium.
- 34. The composition of claim 33, wherein said optically functional layer further comprises a layer of diamond-like carbon positioned on said layer of germanium.
 - 35. A non-inert composition of diamond-like carbon useful as an attachment layer.
- 36. The device of any of claims 1, 2, 3, 4, 5, or 6, wherein said analyte is selected from the group consisting of antigens, antibodies, receptors, ligands, chelates, proteins, enzymes, nucleic acids, DNA, RNA, pesticides, herbicides, inorganic or organic compounds.

- 37. The device of any of claims 1, 2, 3, 4, 5 or 6 wherein said optically functional layer comprises a layer of silicon nitride positioned on a layer of amorphous silicon.
- 5 38. The device of any of claims 1, 2, 3, 4, 5 or 6 wherein said attachment layer comprises diamond-like carbon.
 - 39. An assay device for the detection of an analyte of interest comprising:
- a support, and an attachment layer positioned on said support comprising diamond-like carbon.
 - 40. An optical assay device for the detection of an analyte of interest comprising:
- 15 a support,

an optically functional layer positioned on said support, and

an attachment layer positioned on said optically functional layer comprising diamond-like carbon.

20 41. The device of claim 39 or 40, further comprising an analyte specific receptive layer positioned on said attachment layer.

42. The device of claim 39 or 40, wherein said attachment layer non-specifically binds analyte selected from the group consisting of antigens, antibodies, receptors, nucleic acids, polysacchrides,
5 lipopolysacchrides, enzymes, proteins, microorganisms, fragments derived from microorganisms, haptens, drugs,

food contaminants, environmental agents, ligands,

chelators, and analogs or derivatives thereof.

- 43. The device of claim 41, wherein said receptive

 10 layer comprises biomolecules selected from the group
 consisting of antigens, antibodies, receptors, nucleic
 acids, polysacchrides, lipopolysacchrides, enzymes,
 proteins, microorganisms, fragments derived from
 microorganisms, haptens, drugs, food contaminants,

 15 environmental agents, ligands, chelators, and analogs or
 derivatives there.
 - 44. The device of claim 39, wherein said diamond-like carbon is coated on said support to a thickness of 50 Å.

20

- 45. The device of claim 40, wherein said diamond-like carbon is coated on said optically functional layer to a thickness of 50 Å.
- 46. The device of claim 39, wherein said diamond-25 like carbon is coated on said support to a thickness of 50 to 3000 Å.

- 47. The device of claim 40, wherein said diamond-like carbon is coated on said optically functional layer to a thickness of 50 to 3000 Å.
- 48. The device of claim 39, wherein said diamondlike carbon is coated on said support by a process
 selected from the group consisting of ion beam
 technique, chemical vapor deposition, plasma deposition,
 ion beam gun, shock-synthesis technique, sputtering,
 thermal radio-frequency and microwave-supported plasmas,
 heated filament, direct current plasma, chemical vapor
 deposition, and plasma deposition.
- 19. The device of claim 40, wherein said diamondlike carbon is coated on said optically functional layer
 by a process selected from the group consisting of ion

 15 beam technique, chemical vapor deposition, plasma
 deposition, ion beam gun, shock-synthesis technique,
 sputtering, thermal radio-frequency and microwavesupported plasmas, heated filament, direct current
 plasma, chemical vapor deposition, and plasma

 20 deposition.
 - 50. The device of claim 39 or 40, wherein said diamond-like carbon comprises industrial diamonds.

ABSTRACT

An optical assay device for the detection of an analyte of interest in a sample comprising a support containing channels, an optically functional layer

5 positioned on the support such that the optically functional layer and the support allow for laminar flow of the sample through layers of the device, an attachment layer positioned on the optically functional layer, and an analyte specific receptive layer

10 positioned on the attachment layer.

FIGURE 1

CHANNEL - CONTAINING OPTICAL DEVICE COMPONENTS

RECEPTIVE MATERIAL — OPTIONAL AR LAYER --- OPTIONAL ATTACHMENT LAYER BASELAYER SUPPORT

OPTICALLY FUNCTIONAL LAYER

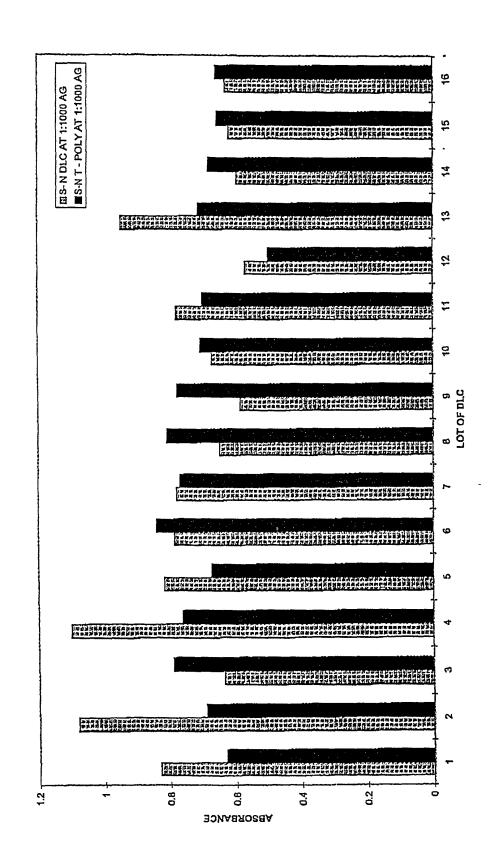
FIGURE 2

SUPPORT FEATURES AND OPTICALLY FUNCTIONAL LAYER

FIGURE 2A:	
FIGURE 2B;	OPTICALLY FUNCTIONAL LAYER SUPPORT WITH CHANNELS
	OPTICALLY FUNCTIONAL LAYER CONTAIINING CHANNELS POROUS SUPPORT
-IGURE 2C:	
	OPTICALLY FUNCTIONAL LAYER COMPRISING PARTICLES POROUS SUPPORT

Figure 3

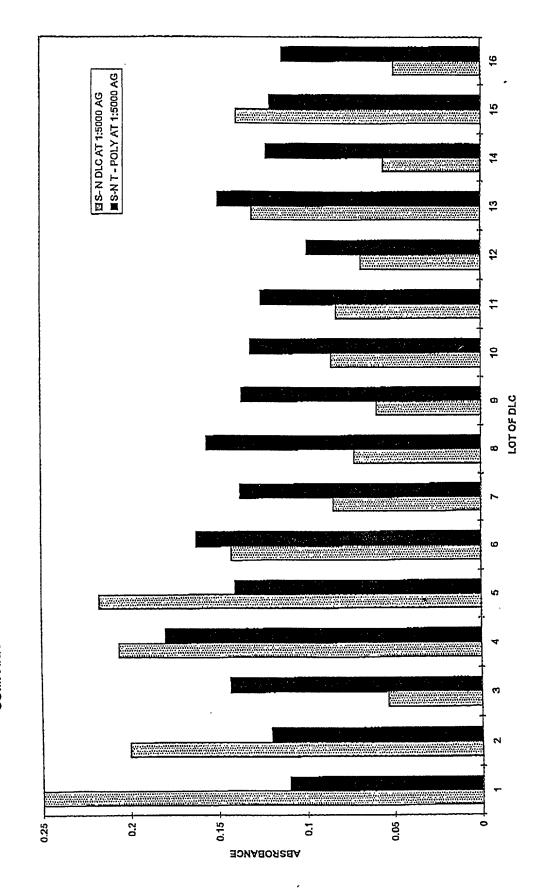
COMPARISON OF DLC TO T - POLYMER FOR CAPTURE OF LPS AT 1:1000 DILUTION OF LPS



S - N = SIGNAL - NOISE (BACKGROUND), ABSORBANCE READING FOR TMB SUBSTRATE TURNOVER

Figure 4

COMPARISON OF DLC TO T - POLYMER FOR CAPTURE OF 1:5000 DILUTION OF LPS



S - N = SIGNAL - NOISE (BACKGROUND), ABORBANCE= READING FOR TMB SUBSTRATE TURNOVER

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled <u>METHODS AND DEVICES FOR MASS TRANSPORT ASSISTED</u> OPTICAL ASSAYS the specification of which

is attached hereto.	
x was filed on October 15, 1997 as Application Serial No. 08/950,963 and was amended on	
I hereby state that I have reviewed and understand the contents of the above-identified specificatio including the claims, as amended by any amendment referred to above.	'n,

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: Prior Foreign Application(s):

(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

08/742,255	October 31, 1996	Pending
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Richard J. Warburg, Esq., Registration No. 32,327.

Kindly recognize as associate attorney:

Roland N. Smoot, Reg. No. 18,718; Conrad R. Solum, Jr. Reg. No. 20,467; James W. Geriak, Reg. No. 20,233; Robert M. Taylor, Jr., Reg. No. 19,848; Samuel B. Stone, Reg. No. 19,297; Douglas E. Olson, Reg. No. 22,798; Robert E. Lyon, Reg. No. 24,171; Robert C. Weiss, Reg. No. 24,939; Richard E. Lyon, Jr., Reg. No. 26,300; John D. McConaghy, Reg. No. 26,773; William C. Steffin, Reg. No. 26,811; Coe A. Bloomberg, Reg. No. 26,605; J. Donald McCarthy, Reg. No. 25,119; John M. Benassi, Reg. No. 27,483; James H. Shalek, Reg. No. 29,749; Allan W. Jansen, Reg. No. 29,035; Robert W. Dickerson, Reg. No. 29,914; Roy L. Anderson, Reg. No. 30,240; David B. Murphy, Reg. No. 31,125; James C. Brooks, Reg. No. 29,898; Jeffrey M. Olson, Reg. No. 30,790; Steven D. Hemminger, Reg. No. 30,755, Terrold B. Reilly, Reg. No. 32,293; Paul H. Meier, Reg. No. 32,274; John A. Rafter, Jr., Reg. No. 31,658; Kenneth H. Ohriner, Reg. No. 31,646; Mary S. Consalvi, Reg. No. 32,212; Bradford J. Duft, Reg. No. 32,219; Suzanne L. Biggs, Reg. No. 30,158; Steven M. Weiss, Reg. No. 37,534; Matthew W. Knight, Reg. No. 36,846; F.T. Alexandra Mahaney, Reg. No. 37,668; Sheldon O. Heber, Reg. No. 38,179; Jeffrey W. Guise, Reg. No. 34,613; Charles S. Berkman, Reg. No. 38,077; Anthony C. Chen, Reg. No. 38,673; and Gary H. Silverstein, Reg. No. 39,372; of LYON & LYON, 633 West Fifth Street, Suite 4700, Los Angeles, California 90071, telephone (619) 552-8400.

Address all telephone calls to <u>Richard J. Warburg</u>, <u>Esq.</u> at telephone no. <u>(619) 552-8400</u>. Address all correspondence to <u>Richard J. Warburg</u>, <u>Esq., LYON & LYON</u>, 633 West Fifth Street, Suite 4700, Los <u>Angeles</u>, <u>CA 90071-2066</u>.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issuing thereon.

Full name of sole or first inventor Joel A. Drewes			
Inventor's signature			Date: 3/10/98
Residence 14867 Battonwood Court, Woodbridge, Virginia 22193	Citizenship!	USA	
Post Office Address 14867 Buttonwood Court, Woodbridge, Virginia 2219	3		
Full name of second inventor Gregory R. Bogart			
Inventor's signature			Date:
Residence 708 Riverside Avenue, Raritan, New Jersey 08869	Citizenship _	USA	
Post Office Address 708 Riverside Avenue, Raritan, New Jersey 08869	·		

229/119

Full name of third inventor <u>Jeffrey B. Etter</u>			
Inventor's signature			Date:
Residence 1318 Deer Trail Road, Boulder, Colorado 80302	Citizenship _	USA	
Post Office Address 1318 Deer Trail Road, Boulder, Colorado 80302			
Full name of fourth inventor <u>Jeffrey W. Steaffens</u>			J
Inventor's signature			Date:
Residence 1603 Waneka Lake Trail, lafayette, Colorado 80026	Citizenship _	USA	
Post Office Address 1603 Waneka Lake Trail, lafayette, Colorado 80026			
ull name of fifth inventor <u>Rachel M. Ostroff</u> eventor's signature <u></u>			Date:
esidence 10203 King Court, Westminster, Colorado 80030	Citizenship	USA	
ost Office Address 10203 King Court, Westminster, Colorado 80030			
ull name of sixth inventor <u>Mark Crosby</u>			
ıventor's signature			Date:
esidence 6262 Willow Lane, Boulder, Colorado 80302	Citizenship _	USA	
ost Office Address 6262 Willow Lane, Boulder, Colorado 80302			

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled <u>METHODS AND DEVICES FOR MASS TRANSPORT ASSISTED</u> <u>OPTICAL ASSAYS</u> the specification of which

OF ITEAD ADDA TO the speciment of which	
is attached hereto.	
x was filed on October 15, 1997 as Application Serial No. 08/950,963 and was amended on	
I hereby state that I have reviewed and understand the contents of the above-identified specifical including the claims, as amended by any amendment referred to above.	ation

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: Prior Foreign Application(s):

(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

08/742,255	October 31, 1996	Pending
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Richard J. Warburg, Esq., Registration No. 32,327.

Kindly recognize as associate attorney:

Roland N. Smoot, Reg. No. 18,718; Conrad R. Solum, Jr. Reg. No. 20,467; James W. Geriak, Reg. No. 20,233; Robert M. Taylor, Jr., Reg. No. 19,848; Samuel B. Stone, Reg. No. 19,297; Douglas E. Olson, Reg. No. 22,798; Robert E. Lyon, Reg. No. 24,171; Robert C. Weiss, Reg. No. 24,939; Richard E. Lyon, Jr., Reg. No. 26,300; John D. McConaghy, Reg. No. 26,773; William C. Steffin, Reg. No. 26,811; Coe A. Bloomberg, Reg. No. 26,605; J. Donald McCarthy, Reg. No. 25,119; John M. Benassi, Reg. No. 27,483; James H. Shalek, Reg. No. 29,749; Allan W. Jansen, Reg. No. 29,035; Robert W. Dickerson, Reg. No. 29,914; Roy L. Anderson, Reg. No. 30,240; David B. Murphy, Reg. No. 31,125; James C. Brooks, Reg. No. 29,898; Jeffrey M. Olson, Reg. No. 30,790; Steven D. Hemminger, Reg. No. 30,755, Jerrold B. Reilly, Reg. No. 32,293; Paul H. Meier, Reg. No. 32,274; John A. Rafter, Jr., Reg. No. 31,653; Kenneth H. Ohriner, Reg. No. 31,646; Mary S. Consalvi, Reg. No. 32,212; Bradford J. Duft, Reg. No. 32,219; Suzanne L. Biggs, Reg. No. 30,158; Steven M. Weiss, Reg. No. 37,534; Matthew W. Knight, Reg. No. 36,846; F.T. Alexandra Mahaney, Reg. No. 37,668; Sheldon O. Heber, Reg. No. 38,179; Jeffrey W. Guise, Reg. No. 34,613; Charles S. Berkman, Reg. No. 38,077; Anthony C. Chen, Reg. No. 38,673; and Gary H. Silverstein, Reg. No. 39,372; of LYON & LYON, 633 West Fifth Street, Suite 4700, Los Angeles, California 90071, telephone (619) 552-8400.

Address all telephone calls to <u>Richard J. Warburg</u>, <u>Esq.</u> at telephone no. <u>(619) 552-8400</u>. Address all correspondence to <u>Richard J. Warburg</u>, <u>Esq.</u>, <u>LYON & LYON</u>, <u>633 West Fifth Street</u>, <u>Suite 4700</u>, <u>Los Angeles</u>, <u>CA 90071-2066</u>.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issuing thereon.

Full name of sole or first inventor Joel A. Drewes	
Inventor's signature	Date:
Post Office Address 14867 Buttonwood Court, Woodbridge, Virginia 22193	
Full name of second inventor Gregory R. Bogart	
Inventor's signature	Date:
Residence 708 Riverside Avenue, Raritan, New Jersey 08869 Citizenship US	1
Post Office Address 708 Riverside Avenue, Raritan, New Jersey 08869	

229/119

	Full name of third inventor <u>Jettrey B. Etter</u>			
	Inventor's signature fyll & Ette		··· - .	Date: 2/24/98
	Residence 1318 Deer Trail Road, Boulder, Colorado 80302	_ Citizenship _	USA	
	Post Office Address 1318 Deer Trail Road, Boulder, Colorado 80302			
				,
	Full name of fourth inventor <u>Jeffrey W. Steaffens</u>		<u>-</u> .	
	Inventor's signature Off W. Staff -	···		Date: Feb 16, 1998
	Residence 1603 Waneka Lake Trail, lafayette, Colorado 80026	_ Citizenship _	USA	
	Post Office Address 1603 Waneka Lake Trail, lafayette, Colorado 80026			
	ull name of fifth inventor Rachel M. Ostroff			
	eventor's signature Kachel M. Octism	**		Date: Feb 16, 1998
	tesidence 10203 King Court, Westminster, Colorado 80030	Citizenship _	USA	
555	ost Office Address 10203 King Court, Westminster, Colorado 80030			
100 00 00 00 00 00 00 00 00 00 00 00 00				
	'ull name of sixth inventor Mark Crosby			0/11/25
	nventor's signature // // // //	 		Date: 2/16/98
Ξ	lesidence 6262 Willow Lane, Boulder, Colorado 80302	Citizenship _	USA	
	'ost Office Address 6262 Willow Lane, Boulder, Colorado 80302			
200				

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHODS AND DEVICES FOR MASS TRANSPORT ASSISTED **OPTICAL ASSAYS** the specification of which _ is attached hereto. was filed on October 15, 1997 as Application Serial No. 08/950,963 and was amended on I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a). I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: Prior Foreign Application(s): (Day/Month/Year Filed) Yes No (Number) (Country) (Day/Month/Year Filed) Yes No (Number) (Country) (Day/Month/Year Filed) No Yes (Number) (Country) I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application: 08/742,255 October 31, 1996 Pending (Application Serial No.) (Filing Date) (Status) (patented, pending, abandoned) (Status) (Application Serial No.) (Filing Date) (patented, pending, abandoned) (Application Serial No.) (Filing Date) (Status)

(patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Richard J. Warburg, Esq., Registration No. 32,327.

Kindly recognize as associate attorney:

Roland N. Smoot, Reg. No. 18,718; Conrad R. Solum, Jr. Reg. No. 20,467; James W. Geriak, Reg. No. 20,233; Robert M. Taylor, Jr., Reg. No. 19,848; Samuel B. Stone, Reg. No. 19,297; Douglas E. Olson, Reg. No. 22,798; Robert E. Lyon, Reg. No. 24,171; Robert C. Weiss, Reg. No. 24,939; Richard E. Lyon, Jr., Reg. No. 26,300; John D. McConaghy, Reg. No. 26,773; William C. Steffin, Reg. No. 26,811; Coe A. Bloomberg, Reg. No. 26,605; J. Donald McCarthy, Reg. No. 25,119; John M. Benassi, Reg. No. 27,483; James H. Shalek, Reg. No. 29,749; Allan W. Jansen, Reg. No. 29,035; Robert W. Dickerson, Reg. No. 29,914; Roy L. Anderson, Reg. No. 30,240; David B. Murphy, Reg. No. 31,125; James C. Brooks, Reg. No. 29,898; Jeffrey M. Olson, Reg. No. 30,790; Steven D. Hemminger, Reg. No. 30,755, Jerrold B. Reilly, Reg. No. 32,293; Paul H. Meier, Reg. No. 32,274; John A. Rafter, Jr., Reg. No. 31,653; Kenneth H. Ohriner, Reg. No. 31,646; Mary S. Consalvi, Reg. No. 32,212; Bradford J. Duft, Reg. No. 32,219; Suzanne L. Biggs, Reg. No. 30,158; Steven M. Weiss, Reg. No. 37,534; Matthew W. Knight, Reg. No. 36,846; F.T. Alexandra Mahaney, Reg. No. 37,668; Sheldon O. Heber, Reg. No. 38,179; Jeffrey W. Guise, Reg. No. 34,613; Charles S. Berkman, Reg. No. 38,077; Anthony C. Chen, Reg. No. 38,673; and Gary H. Silverstein, Reg. No. 39,372; of LYON & LYON, 633 West Fifth Street, Suite 4700, Los Angeles, California 90071, telephone (619) 552-8400.

Address all telephone calls to <u>Richard J. Warburg</u>, <u>Esq.</u> at telephone no. <u>(619) 552-8400</u>. Address all correspondence to <u>Richard J. Warburg</u>, <u>Esq.</u>, <u>LYON & LYON</u>, <u>633 West Fifth Street</u>, <u>Suite 4700</u>, <u>Los Angeles</u>, <u>CA 90071-2066</u>.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issuing thereon.

Full name of sole or first inventor Joel A. Drewes				
Inventor's signature			Date:	
Residence 14867 Buttonwood Court, Woodbridge, Virginia 22193	Citizenship_	USA		
Post Office Address 14867 Buttonwood Court, Woodbridge, Virginia 2219	•			
Full name of second inventer Gregory R. Bogart				
Inventor's signature Act Rosport			Date: Fol 16	, 1998
Residence 708 Riverside Avenue, Raritan, New Jersey 08869	Citizenship	USA		
Post Office Address 708 Riverside Avenue, Raritan, New Jersey 08869	- • ·			

229/119

Full name of third inventor <u>Jeffrey B. Etter</u>				
Inventor's signature			Date:	
Residence 1318 Deer Trail Road, Boulder, Colorado 80302	Citizenship _	USA		
Post Office Address 1318 Deer Trail Road, Boulder, Colorado 80302				
Full name of fourth inventor <u>Jeffrey W. Steaffens</u>				
Inventor's signature			Date:	
Residence 1603 Waneka Lake Trail, lafayette, Colorado 80026				
Post Office Address 1603 Waneka Lake Trail, lafayette, Colorado 80026				
Full name of fifth inventor Rachel M. Ostroff				
ventor's signature	*		Date:	
esidence 10203 King Court, Westminster, Colorado 80030				
ost Office Address 10203 King Court, Westminster, Colorado 80030				
		,		
ull name of sixth inventor <u>Mark Crosby</u>				
ıventor's signature			Date:	
esidence 6262 Willow Lane, Boulder, Colorado 80302	_ Citizenship _	USA		
ost Office Address 6262 Willow Lane, Boulder, Colorado 80302				